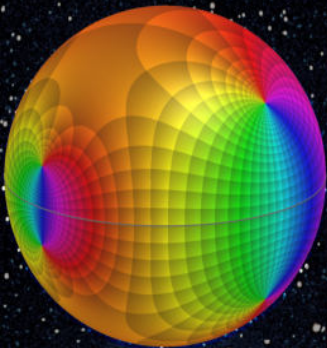


Seeing the Monodromy Group of a Blaschke Product

Elias Wegert, TU Bergakademie Freiberg

ICERM October 2019



Visualizing Complex Functions

Visualization of complex functions

Graphical representations of functions belong to the most useful tools in mathematics and its applications. However, the graph

$$G_f := \{(z, f(z)) \in \mathbb{C}^2 : z \in D\}$$

of a complex function $f : D \rightarrow \mathbb{C}$ is a surface in four-dimensional space.

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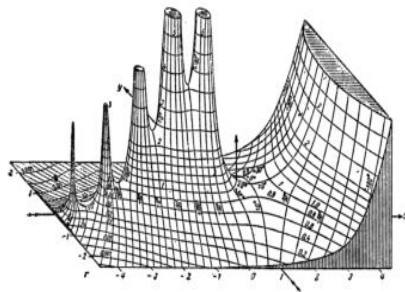
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$$A_f := \{(z, |f(z)|) \in \mathbb{C} \times \mathbb{R} : z \in D\}.$$

This picture of the complex Gamma function, published 1909 in the famous book by Jahnke and Emde, acquired an almost iconic status.



Visualization of complex functions

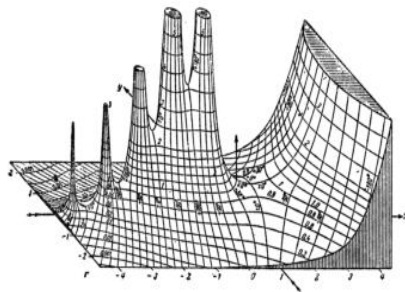
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The analytic landscape depicts only the absolute value of a function and neglects its argument (phase). Jahnke and Emde compensated this by drawing lines of constant argument.



Visualization of complex functions

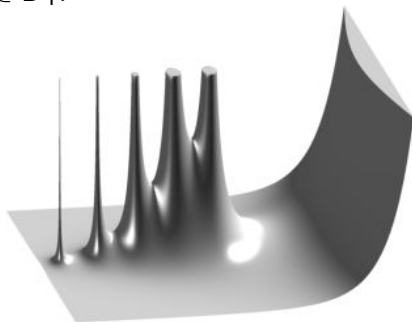
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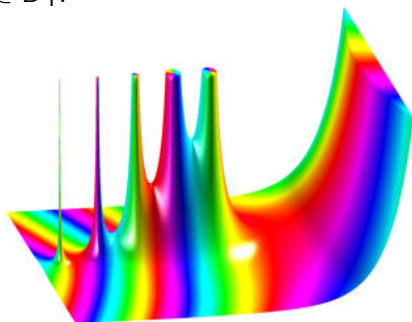
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Today analytic landscapes can be computed easily, and coloring allows one also to incorporate the argument.



Visualization of complex functions

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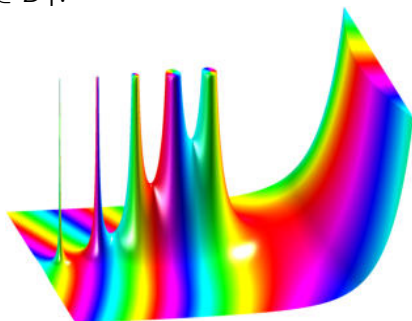
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Instead of the argument one better uses the (well-defined)
phase

$$f(z)/|f(z)|.$$

It lives on the unit circle \mathbb{T} ,
and can be encoded using
a circular color scheme.



Visualization of complex functions

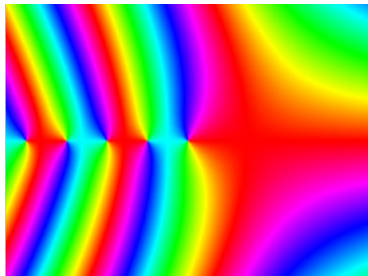
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Viewing the colored analytic landscape straight from top, we see (what I call) the **phase portrait** or **phase plot** of the function.



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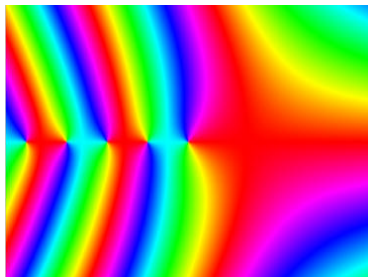
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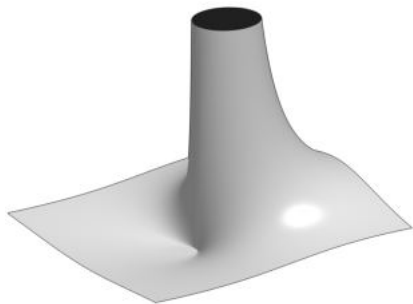
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Phase plots are special variants of **domain coloring**.



Phase plots outperform analytic landscapes

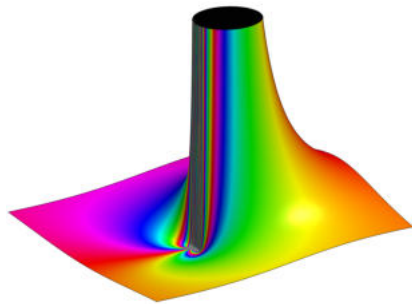
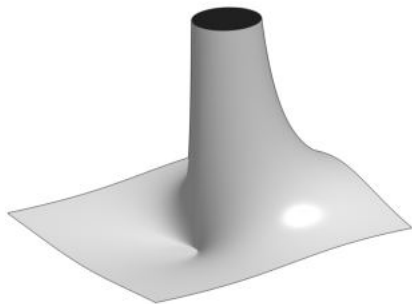
The phase plot of a function shows many properties more clearly than the analytic landscape.



An analytic landscape of $f(z) = e^{1/z}$

Phase plots outperform analytic landscapes

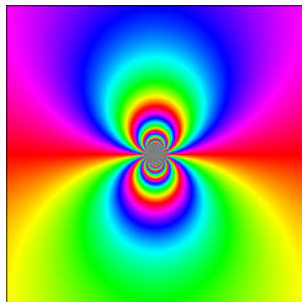
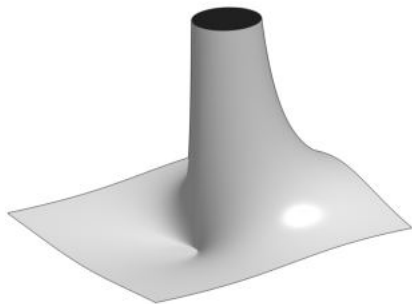
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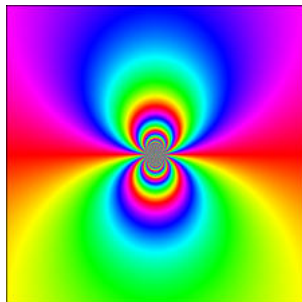
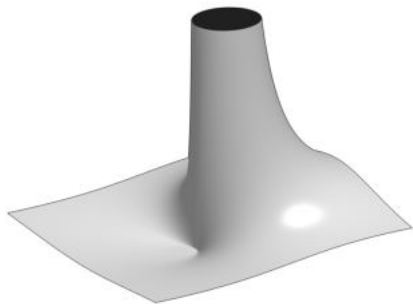
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An analytic landscape of $f(z) = e^{1/z}$ and its phase plot.

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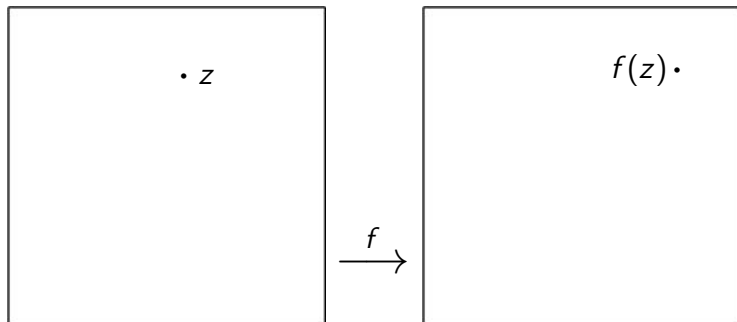
A function which is meromorphic in an open connected set (domain) G is uniquely determined up to a positive constant factor by its phase plot.

Phase Plots: Less is more

Phase Plots: Less is more – more or less

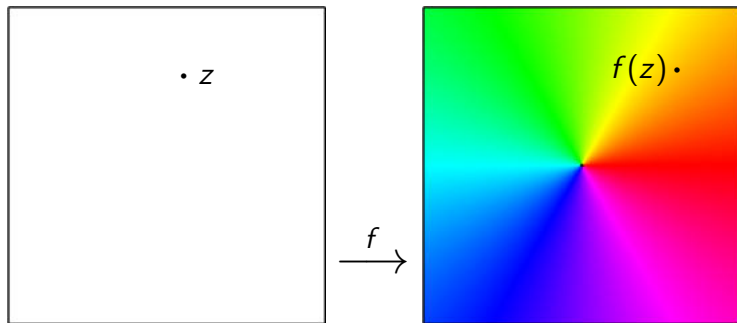
Phase plots and their modifications

We illustrate the construction of a phase plot with the rational function $f(z) = (z - 1)/(z^2 + z + 1)$ in the square $|\operatorname{Re} z| \leq 2$, $|\operatorname{Im} z| \leq 2$.



Phase plots and their modifications

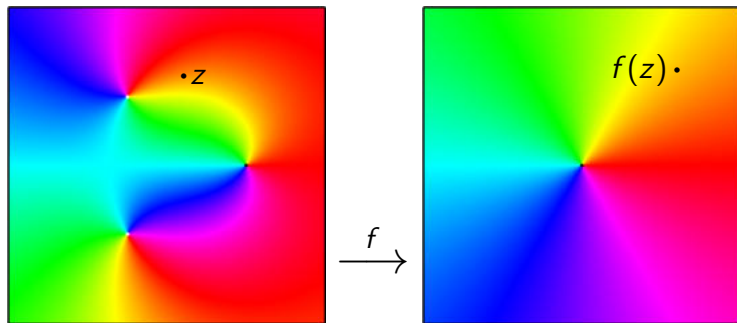
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All points of the w -plane with the same argument get the same color.

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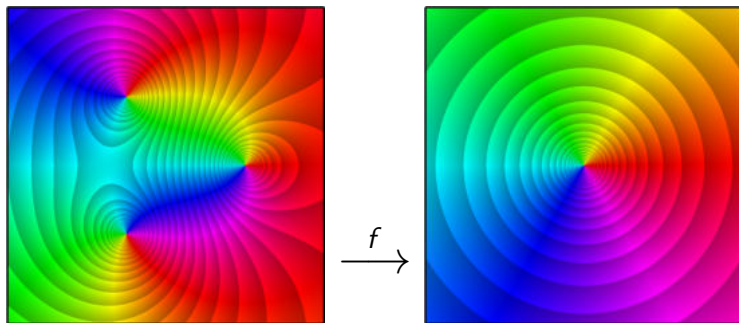
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All points of the w -plane with the same argument get the same color. Then every point z in the domain of definition is colored like its image point $w = f(z)$.

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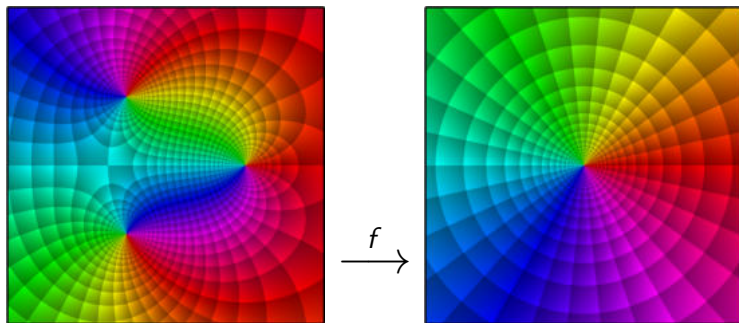


Modifications of the color scheme in the w -plane allow one to read off properties of the function more easily.

This version incorporates the **absolute value** of f by highlighting some contour lines of $|f|$.

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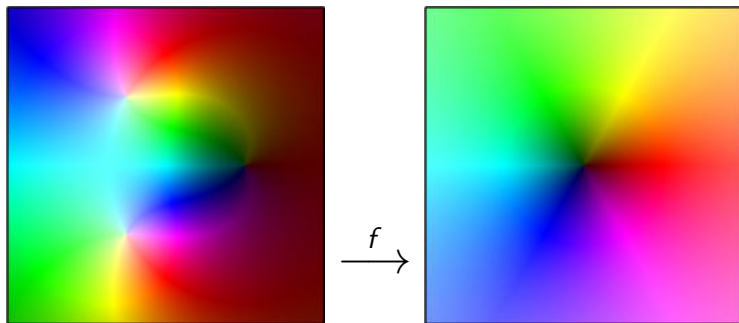
Modifications of the color scheme in the w -plane allow one to read off properties of the function more easily.

This variant demonstrates that the mapping f is **conformal**.

With a few exceptions, all “tiles” have four right angled corners.

Phase plots and their modifications

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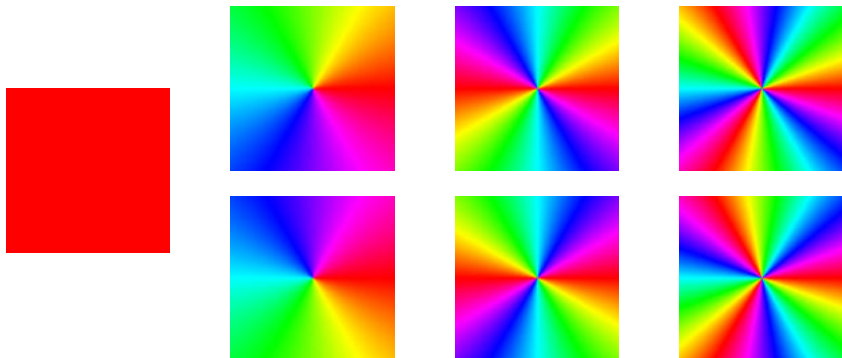


Classical **domain coloring** (Frank Farris) uses a two-dimensional color scheme, with brightness corresponding to absolute value, to encode the values of f completely.

How to read it

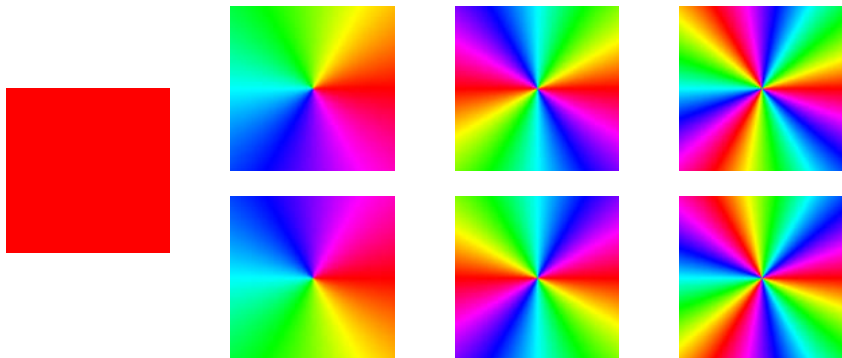
Zeros and poles

Both rows show phase portraits of the power functions $f(z) = z^k$ for $k = 0$ (left), $k = 1, 2, 3$ (above) and $k = -1, -2, -3$ (below).



Zeros and poles

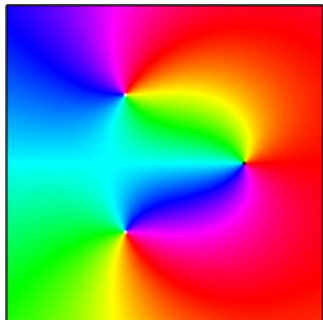
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Zeros and poles can be distinguished by the orientation of colors, their multiplicity can be read off easily.

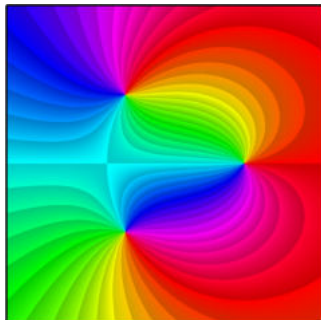
Isochromatic lines and contour lines

The **isochromatic lines** (sets with equal phase of f)



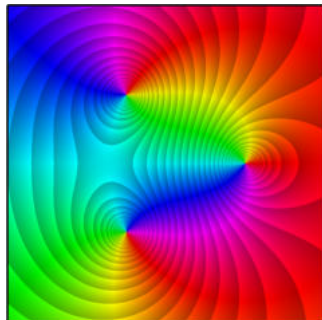
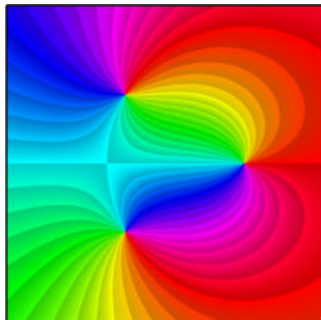
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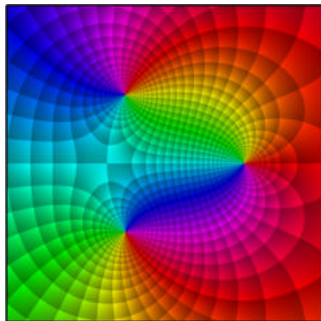
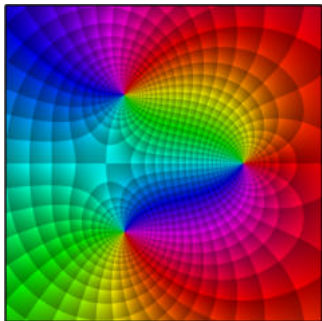
Isochromatic lines and contour lines

The **isochromatic lines** (sets with equal phase of f) and the **contour lines** (sets with equal absolute value of f)



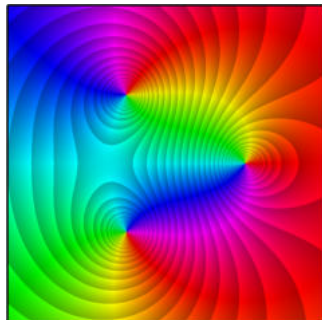
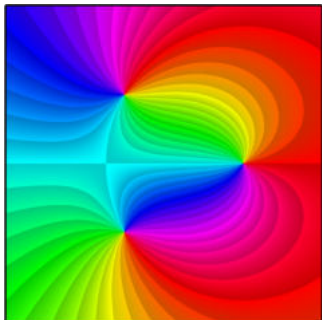
Isochromatic lines and contour lines

The **isochromatic lines** (sets with equal phase of f) and the **contour lines** (sets with equal absolute value of f) are perpendicular.



Isochromatic lines and contour lines

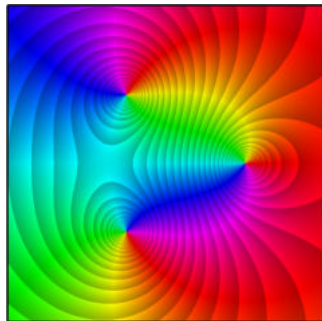
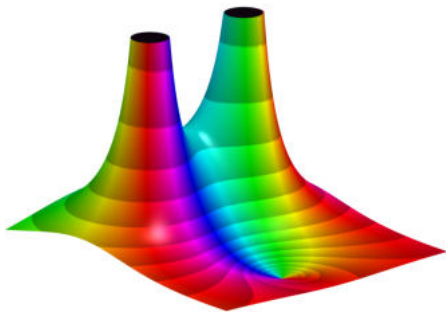
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The density of these lines is related to the **relative growth** of the function, it is proportional to $|f'|/|f| = |(\log f)'|$.

Isochromatic lines and contour lines

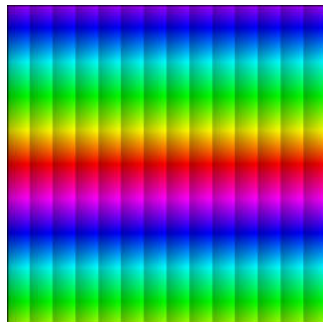
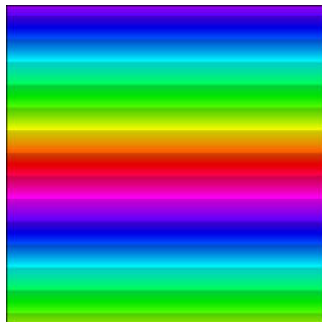
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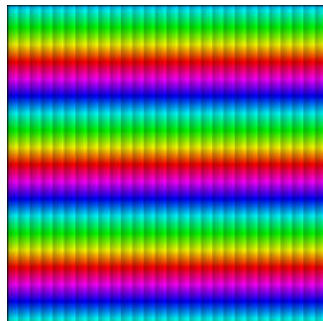
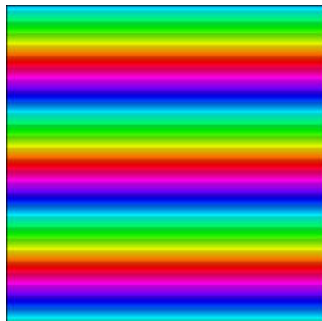
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For the exponential function both families consist of parallel lines.
Here we see $f(z) = \exp(z)$ in $|\operatorname{Re} z| < 5$, $|\operatorname{Im} z| < 5$.

Isochromatic lines and contour lines

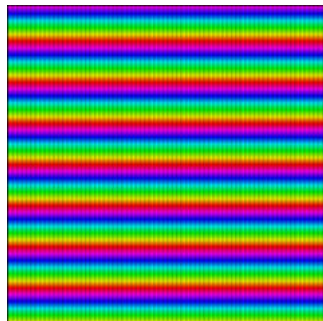
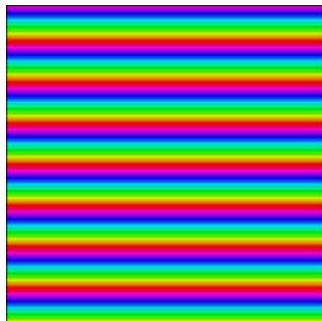
The **isochromatic lines** (sets with equal phase of f) and the **contour lines** (sets with equal absolute value of f) are perpendicular.



For the exponential function both families consist of parallel lines.
Here we see $f(z) = \exp(2z)$ in $|\operatorname{Re} z| < 5$, $|\operatorname{Im} z| < 5$.

Isochromatic lines and contour lines

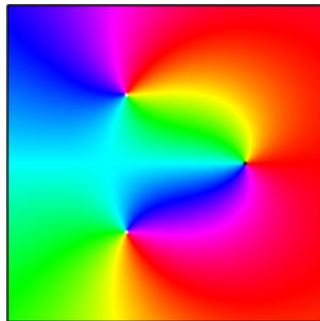
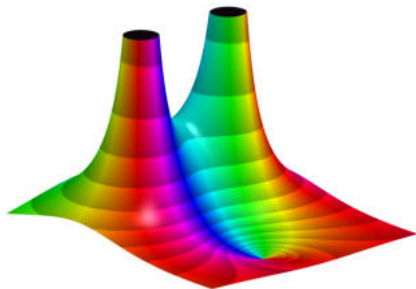
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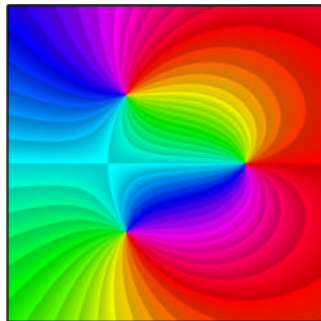
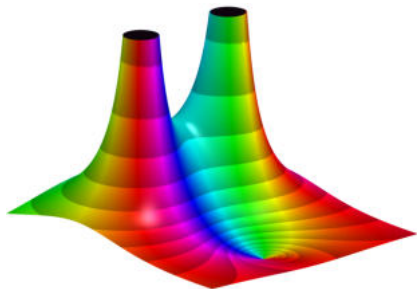
Critical Points and Saddle Points

Critical points ζ of a function f are the zeros of its derivative. Points where $f'(\zeta) = 0$ and $f(\zeta) \neq 0$ are called **saddle points**.



Critical Points and Saddle Points

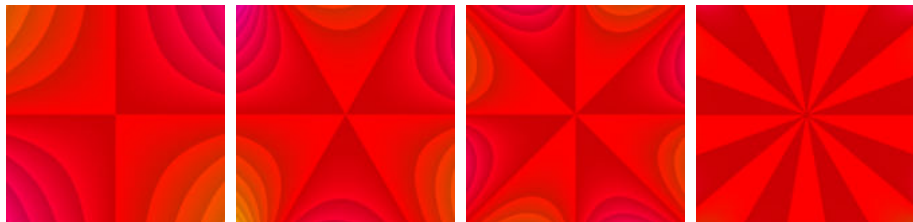
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In the phase plot of f saddle points are the only crossing points of isochromatic lines.

The Order of Saddle Points

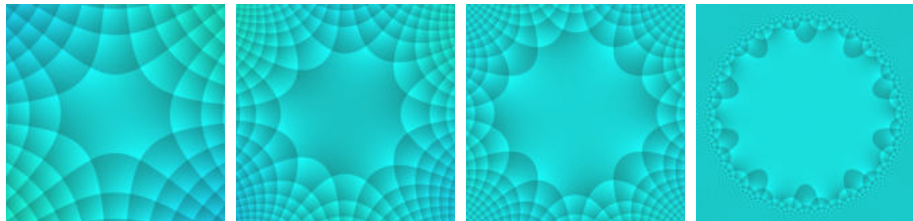
The *order* of a saddle point is the multiplicity of the zero of f' .
A saddle point of order n is the crossing of $n + 1$ isochromatic lines.



The saddle points in these phase plots have orders 1,2,3 and 8.

The Order of Saddle Points

A tile containing saddle points is called **exceptional**. When it has saddle points of orders summing up to n , it has $4(n + 1)$ corners.



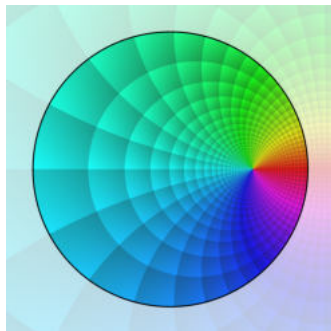
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Blaschke Products

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A **Blaschke factor** is a **Moebius transformation** of the form

$$f(z) = c \frac{z - z_0}{1 - \overline{z_0}z}, \quad |z_0| < 1, \quad |c| = 1.$$

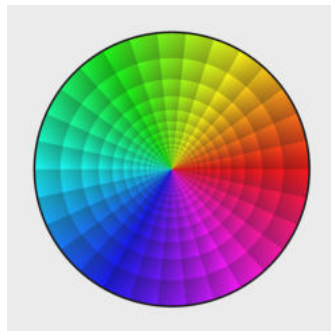
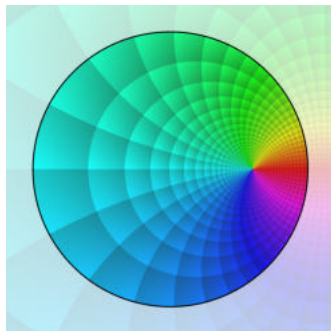


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The mapping $f : \mathbb{D} \rightarrow \mathbb{D}$ is a **conformal automorphism** of the unit disk \mathbb{D} .

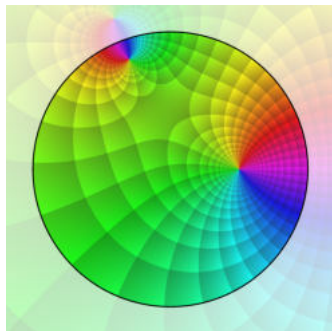


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A Blaschke product is the product of Blaschke factors,

$$B(z) = c \prod_{k=1}^n \frac{z - z_k}{1 - \overline{z_k}z},$$

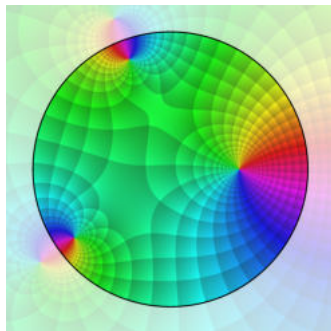
it has modulus 1 on \mathbb{T} .
This has degree two.

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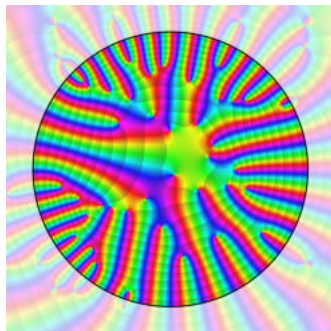
This has degree three.

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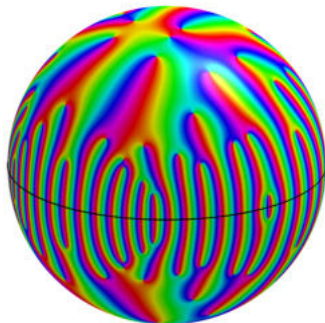
This has degree 40.

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A **Blaschke factor** is a **Möbius transformation** of the form

$$f(z) = c \frac{z - z_0}{1 - \overline{z_0}z}, \quad |z_0| < 1, \quad |c| = 1.$$

The mapping $f : \mathbb{D} \rightarrow \mathbb{D}$ is a **conformal automorphism** of the unit disk \mathbb{D} .



A **Blaschke product** is the product of Blaschke factors,

$$B(z) = c \prod_{k=1}^n \frac{z - z_k}{1 - \overline{z_k}z},$$

it has modulus 1 on \mathbb{T} .

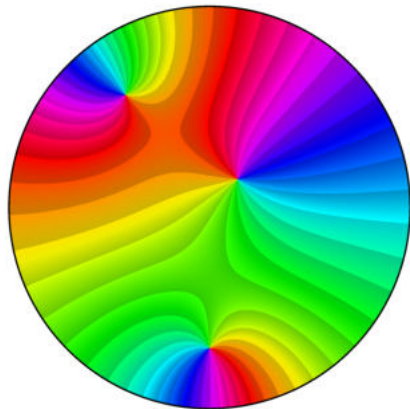
This has degree 40.

Due to $B(1/\overline{z}) = 1/\overline{B(z)}$, the phase plot of Blaschke products on the Riemann sphere is symmetric with respect to the equator.

Intermezzo: The Phase Flow

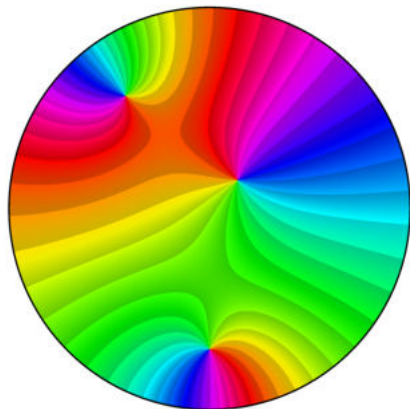
The phase flow

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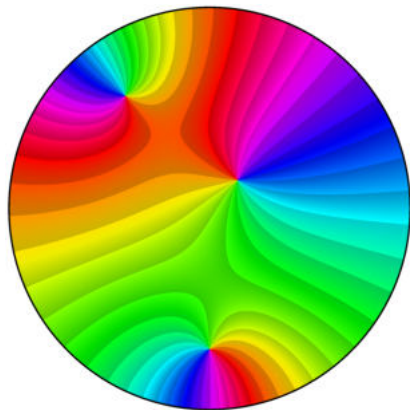
This can be modeled by a **vector field**. If $f : D \rightarrow \hat{\mathbb{C}} := \mathbb{C} \cup \{\infty\}$ is a meromorphic function, then V_f defined by

$$V_f(z) := -\frac{f(z) \overline{f'(z)}}{|f(z)|^2 + |f'(z)|^2}$$

is **smooth** on D , and $V_f(z)$ is tangent to the isochromatic lines of f at z (with $\mathbb{C} \cong \mathbb{R}^2$).

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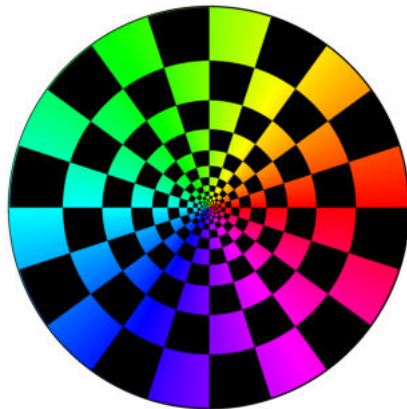
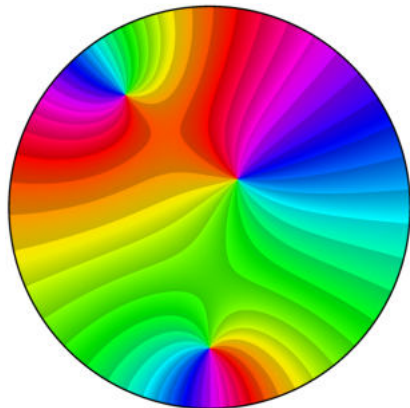
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The vector field V_f generates a **continuous semigroup**, the **phase flow** Ψ_f .

Visualization of the phase flow

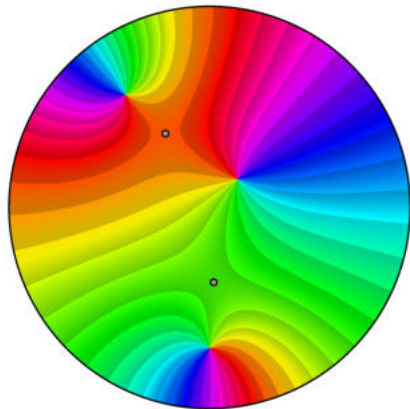
Visualization of the proper phase flow is demanding, here is a cheap substitute. It has the same **orbits** but a different (discontinuous) speed.



The animated phase plot is a pull-back of the range disk, covered by a rotating polar chessboard mask.

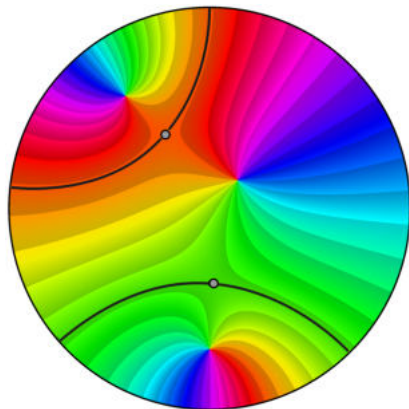
Basins of zeros

The phase flow of a meromorphic function f has **fixed points** at its **zeros** (attracting), **saddle points** (as the name tells) and **poles** (repelling).



Basins of zeros

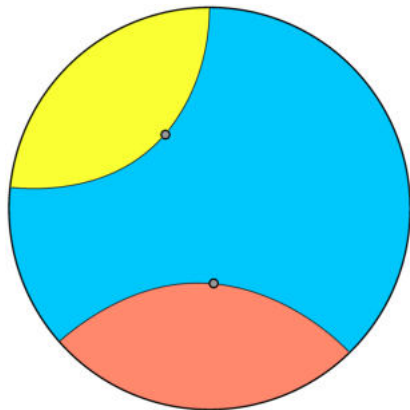
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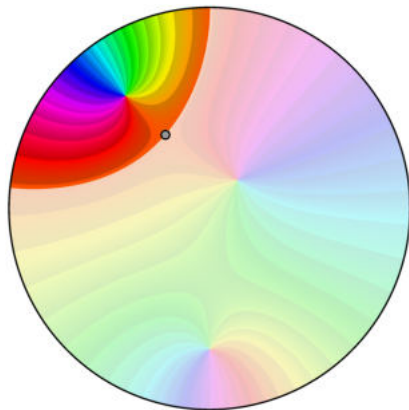
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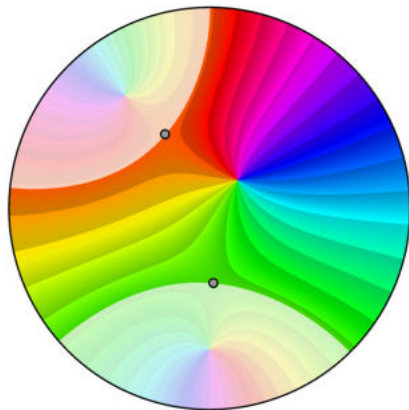
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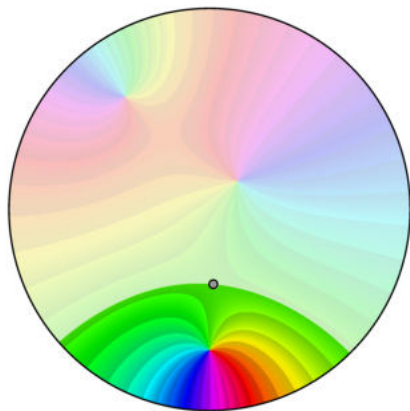


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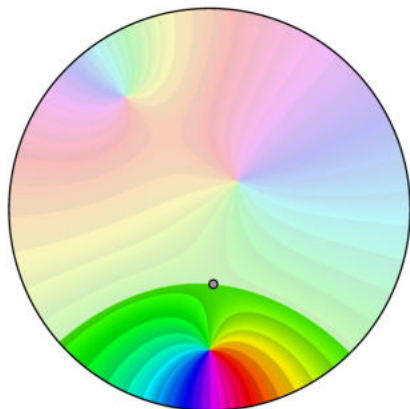


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This statement must be modified somewhat when B has multiple zeros.

Regularized Blaschke products

The basins of attraction of the zeros of B are natural candidates to form the **sheets of the Riemann surface** of B^{-1} .

For the following constructions we assume that B is **regularized**, i.e.,

- (1) all **zeros** of B are **simple** (which implies that 0 is not a critical value),
- (2) if ζ_j and ζ_k are **critical points** of B , then

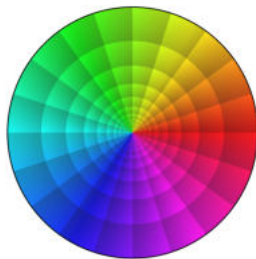
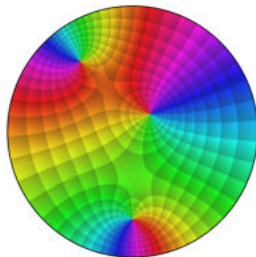
$$\begin{aligned} |B(\zeta_j)| = |B(\zeta_k)| &\implies B(\zeta_j) = B(\zeta_k) \\ B(\zeta_j)/B(\zeta_k) \in \mathbb{R}_+ &\implies B(\zeta_j) = B(\zeta_k). \end{aligned}$$

These are **formal restrictions** – they can always be achieved by replacing B by $\tilde{B} = B_2 \circ B \circ B_1$, where B_1 and B_2 are appropriate Blaschke products of degree 1 (conformal automorphisms of \mathbb{D}). This transformation **has no influence on the structures** we are interested in.

The Riemann Surface of B^{-1}

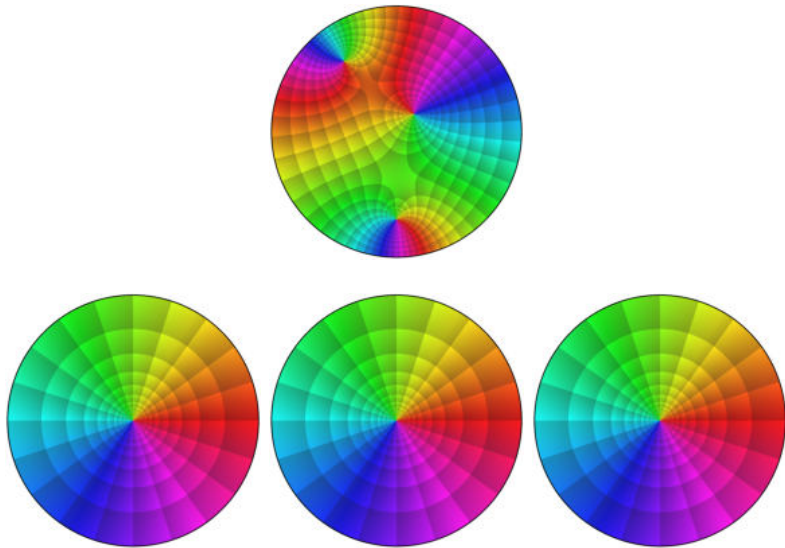
Blaschke products as covering maps

A Blaschke product $B : \mathbb{D} \rightarrow \mathbb{D}$ of degree n is an n -fold covering map.



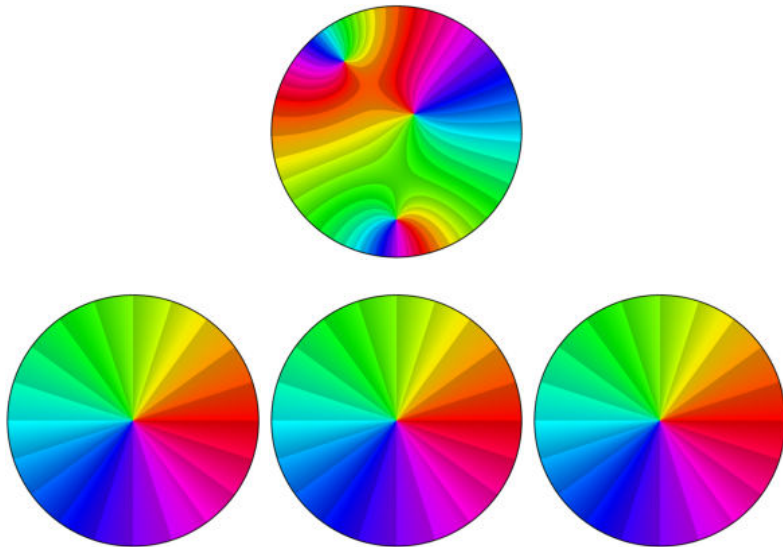
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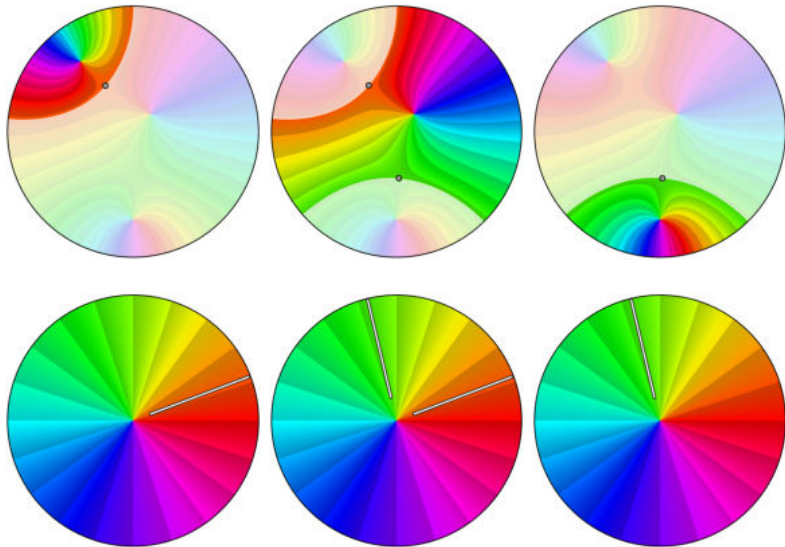
Blaschke products as covering maps

The phase flow allows us to determine the basins of the zeros.



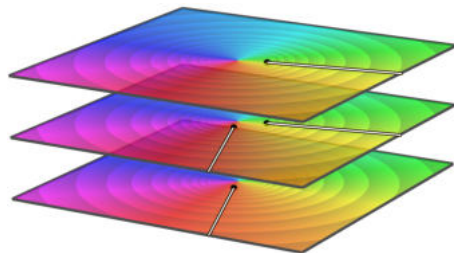
Blaschke products as covering maps

Each basin is mapped onto a slit disk.



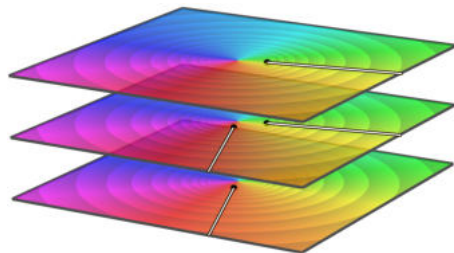
Riemann surfaces of Blaschke products

Since the Blaschke product is an n -fold covering map of \mathbb{D} onto itself, its inverse B^{-1} lives on a **Riemann surface** S_B formed by n sheets D_1, \dots, D_n , where each sheet is a copy of \mathbb{D} .



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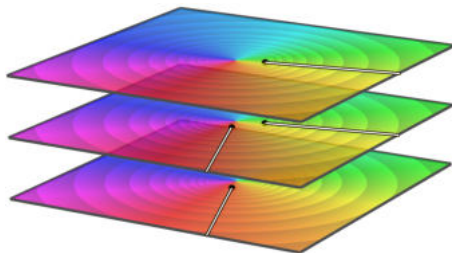
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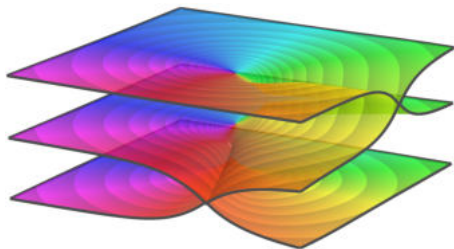


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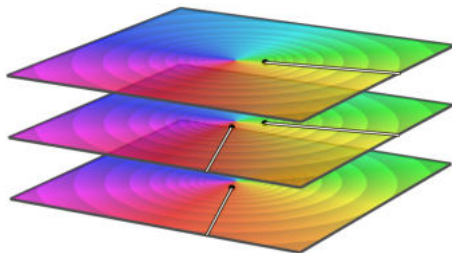
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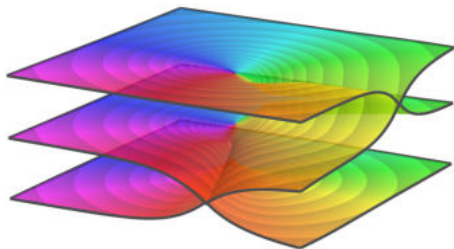
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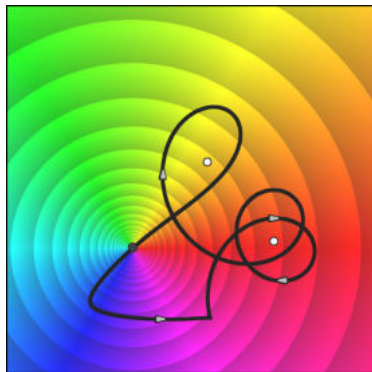
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Blaschke Products: Monodromy

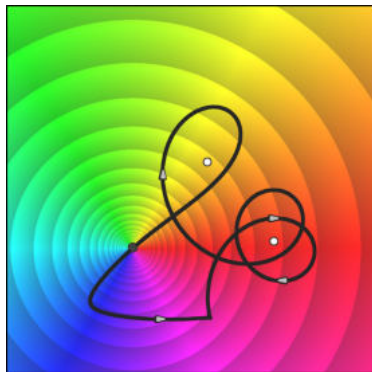
The Fundamental Group

Let $W = B(S)$ be the set of critical values of a regularized Blaschke product B , and consider closed oriented paths (loops) γ in $\dot{\mathbb{D}} := \mathbb{D} \setminus W$ with base point $0 \notin W$.



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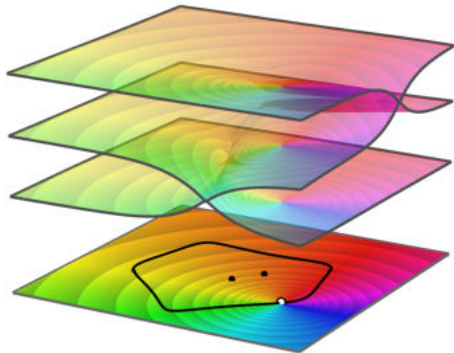
with base point $0 \notin W$.

These loops form a *group* with respect to *concatenation*.

The **fundamental group** $\pi_1(\dot{\mathbb{D}})$ of $\dot{\mathbb{D}}$ consists of equivalence classes $[\gamma]$ of *homotopic loops* in $\dot{\mathbb{D}}$.

Monodromy Group of a Blaschke Product

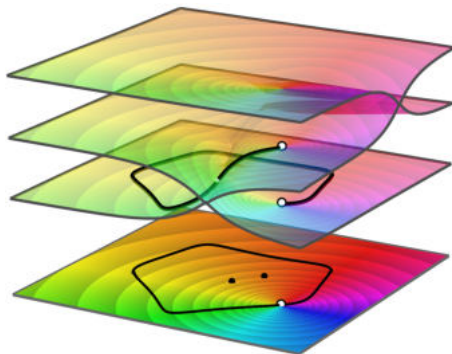
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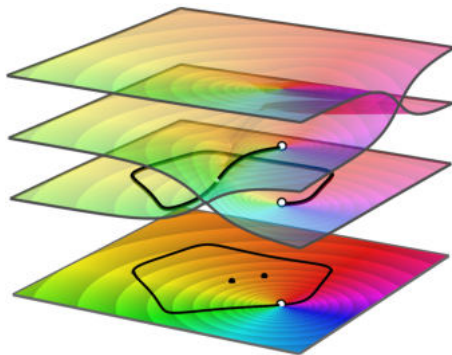
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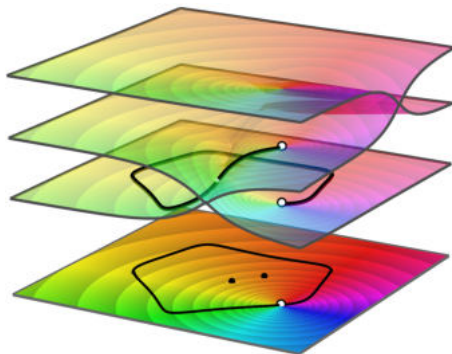
The initial point of Γ can be chosen on any sheet ("above" the initial point of γ); once this point is fixed, Γ is uniquely determined. If γ is a loop, this need not be so for Γ , since the sheet of its terminal point can be different from the sheet of its initial point.

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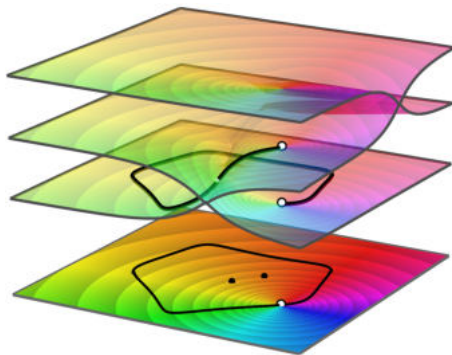
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Since M_γ depends only on the homotopy class of γ , we write $M_{[\gamma]}$.

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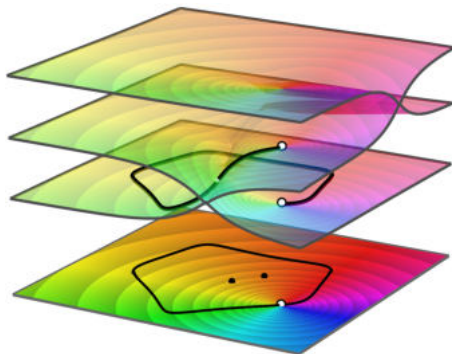
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$M_B([\gamma])$ is the **permutation** of sheets of S_B induced by the lifting of a closed loop γ .
In the image on the left

$$M_B([\gamma]) = (1\,2\,3).$$



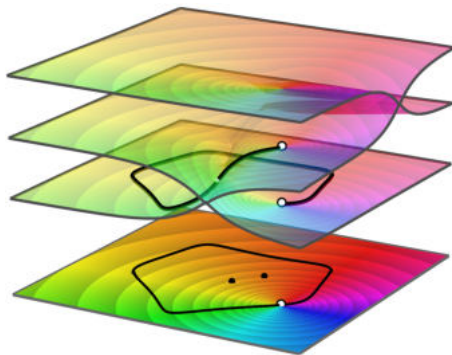
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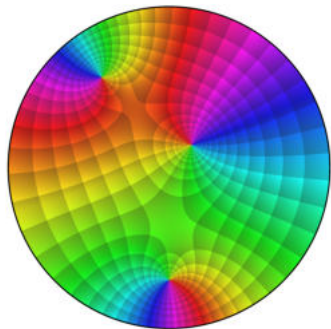


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Endowed with concatenation of loops, $M_B : \pi_1(\mathbb{D}) \rightarrow \mathbb{S}_n$ is the **monodromy group** of B , a subgroup of the *symmetric group* \mathbb{S}_n .

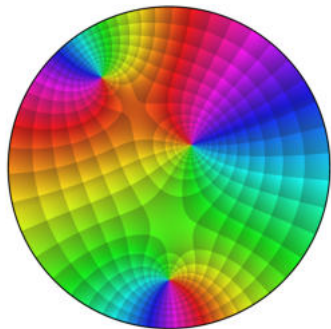
Generators of the monodromy group

The **fundamental group** $\pi_1(\mathbb{D})$ is generated by the (equivalence classes of) “small loops” γ_j around the *critical values* $B(\zeta_j)$, and the **monodromy group** M_B is generated by the **permutations** of the sheets D_1, \dots, D_n induced by these loops. This can be seen in the phase plot of B !



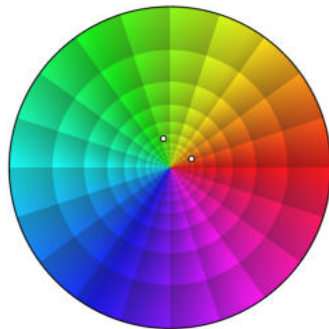
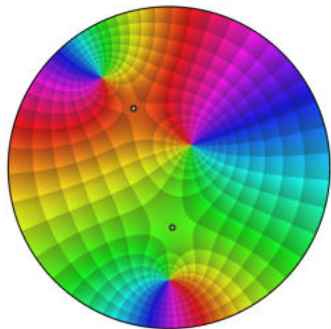
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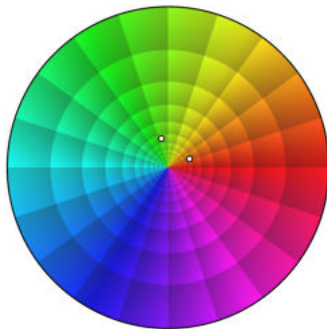
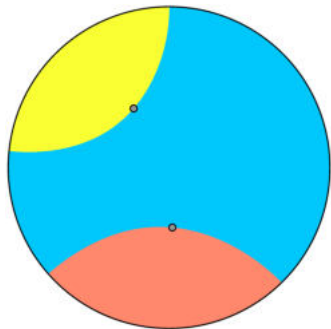
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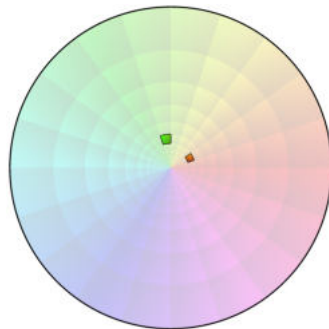
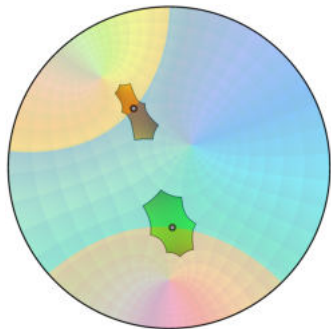
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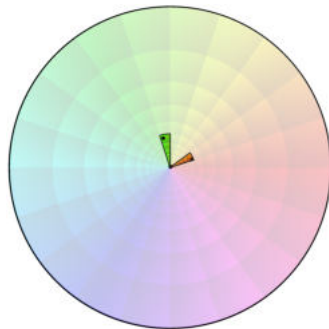
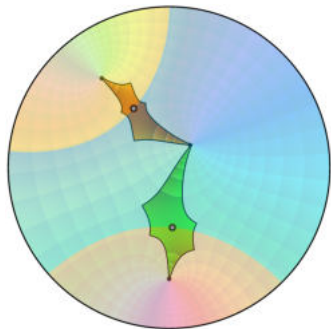
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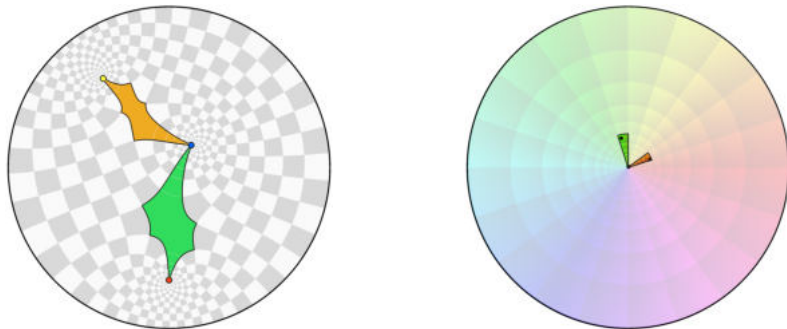
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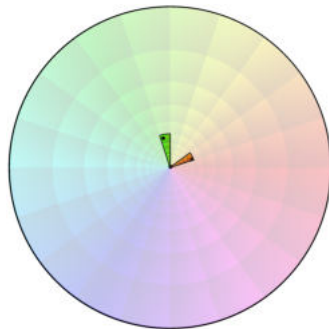
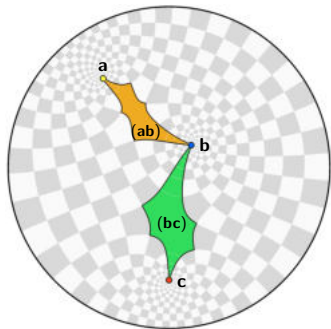
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These **cells** are the generators of the monodromy group M_B .

Generators of the monodromy group

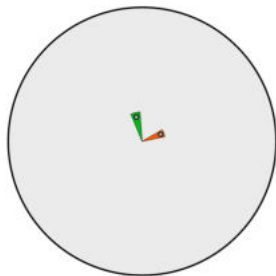
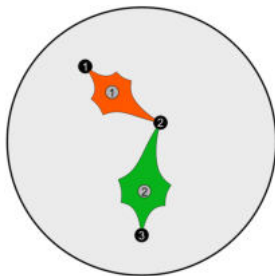
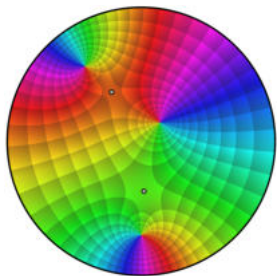
The **fundamental group** $\pi_1(\mathbb{D})$ is generated by the (equivalence classes of) “small loops” γ_j around the *critical values* $B(\zeta_j)$, and the **monodromy group** M_B is generated by the **permutations** of the sheets D_1, \dots, D_n induced by these loops. This can be seen in the phase plot of B !



These **cells** are the generators of the monodromy group M_B .

Some examples

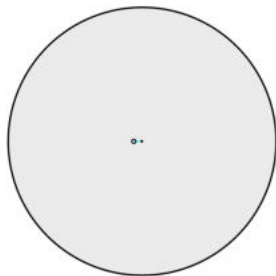
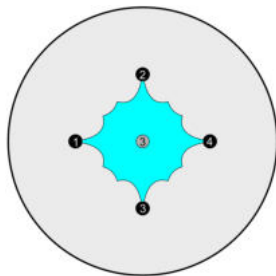
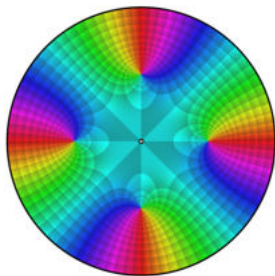
Phase plot of a Blaschke product (left), generators of its monodromy group (middle), and loops from which the cells are generated (right).



This Blaschke product has degree 3, with two saddle points of order 1. The generators of its monodromy group are $(1\ 2)$ and $(2\ 3)$, and M_B is the symmetric group \mathbb{S}_3 .

Some examples

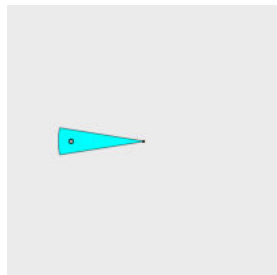
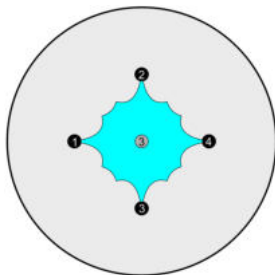
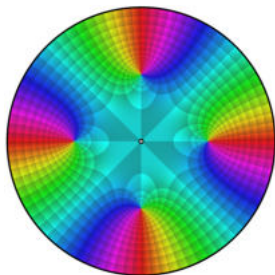
Phase plot of a Blaschke product (left), generators of its monodromy group (middle), and loops from which the cells are generated (right).



This Blaschke product has degree 4, with a saddle point of order 3.
The generator of its monodromy group is $(1\ 2\ 3\ 4)$, so that $M_B = \mathbb{Z}_4$.
The (only) critical value is very small

Some examples

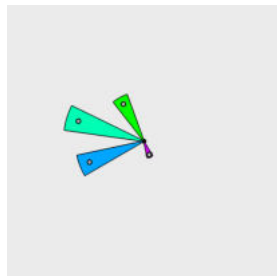
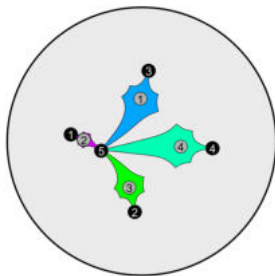
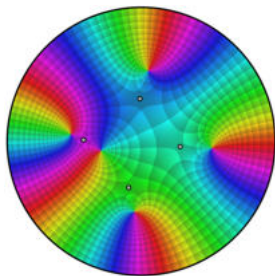
Phase plot of a Blaschke product (left), generators of its monodromy group (middle), and loops from which the cells are generated (right).



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The (only) critical value is very small, a zoom-in shows the loop more clearly.

Some examples

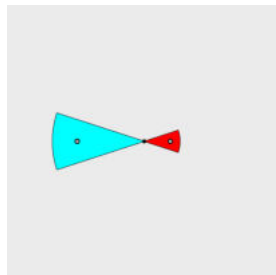
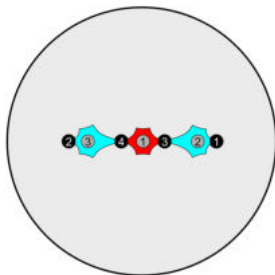
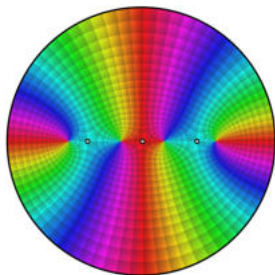
Phase plot of a Blaschke product (left), generators of its monodromy group (middle), and loops from which the cells are generated (right).



A **generic** Blaschke product of degree 5 has four saddle points of order 1. The generators of its monodromy group are (15) , (25) , (35) , (45) , and the monodromy group is the **symmetric group** \mathbb{S}_5 .

Some examples

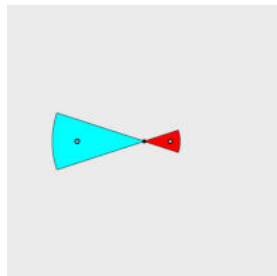
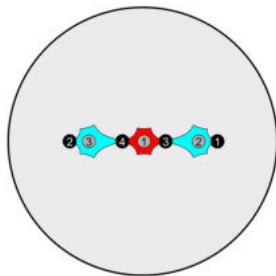
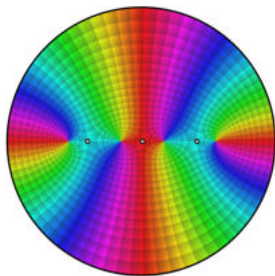
Phase plot of a Blaschke product (left), generators of its monodromy group (middle), and loops from which the cells are generated (right).



This Blaschke product has degree 4 and 3 saddle points $\zeta_1, \zeta_2, \zeta_3$ of order 1, but two critical values coincide, $B(\zeta_2) = B(\zeta_3) =: w$.

Some examples

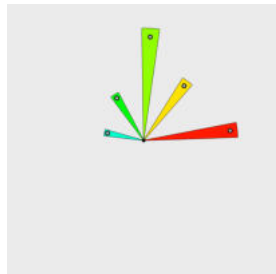
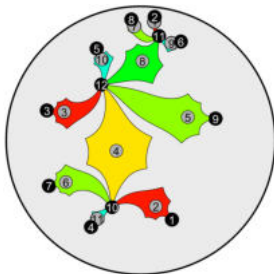
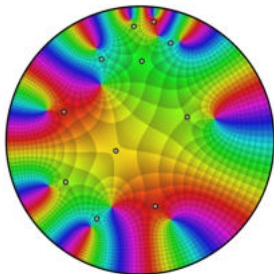
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This Blaschke product has degree 4 and 3 saddle points $\zeta_1, \zeta_2, \zeta_3$ of order 1, but two critical values coincide, $B(\zeta_2) = B(\zeta_3) =: w$. Since a loop which encircles w affects both cells C_2 and C_3 , they “act simultaneously”, which results in the permutation $(13)(24)$. Together with the second generator (34) this produces the monodromy group of B , which is the **dihedral group** \mathbb{D}_4 .

Some examples

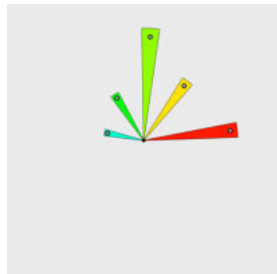
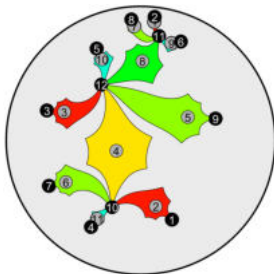
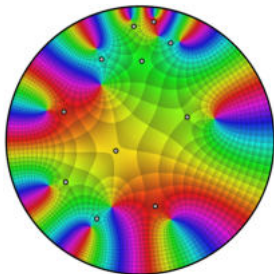
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Now we have $\deg B = 12$ with 11 saddle points, but only 5 different critical values: $w_1 = w_2 = w_3$, $w_5 = w_6 = w_7$ and $w_9 = w_{10} = w_{11}$.

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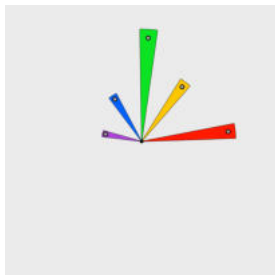
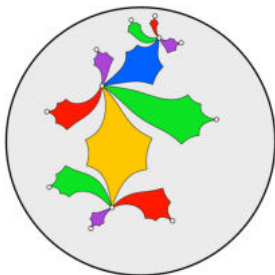
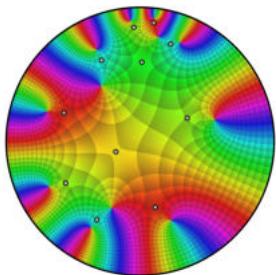
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 $(1, 10)(2, 11)(3, 12), (10, 12), (7, 10)(8, 11)(9, 12), (11, 12), (4, 10)(5, 12)(6, 11)$

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Phase plot of a Blaschke product (left), generators of its monodromy group (middle), and loops from which the cells are generated (right).



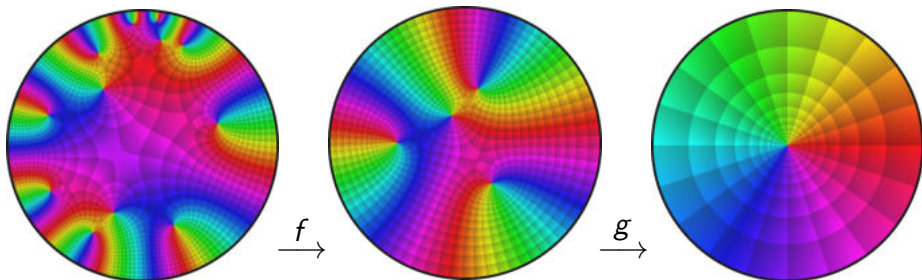
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Blaschke Products: Composition

Compositions of Blaschke Products

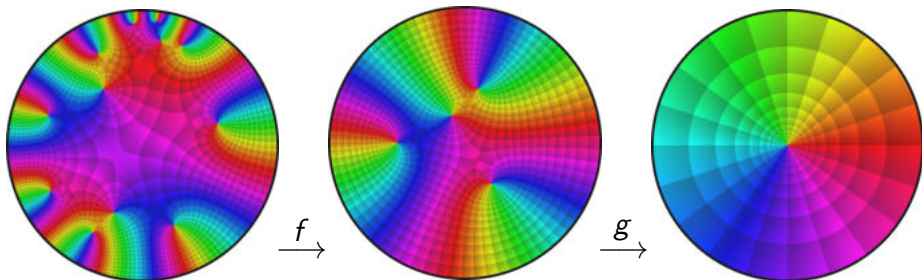
The Blaschke product B in the last example was special, because it was a **composition** of two Blaschke products of lower degree, $B = g \circ f$.



The figure illustrates how Blaschke products f of degree 3 and g of degree 4 are composed to a Blaschke product of degree 12.

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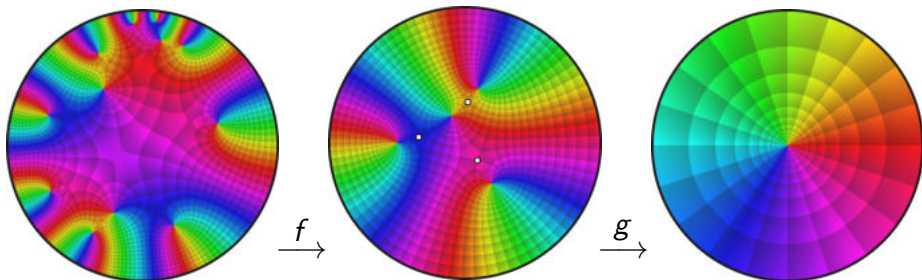
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The figure illustrates how Blaschke products f of degree 3 and g of degree 4 are composed to a Blaschke product of degree 12. Since a phase plot is constructed by **pulling back** the structure from the range plane to the domain, it should be read from right to left.

Compositions of Blaschke Products

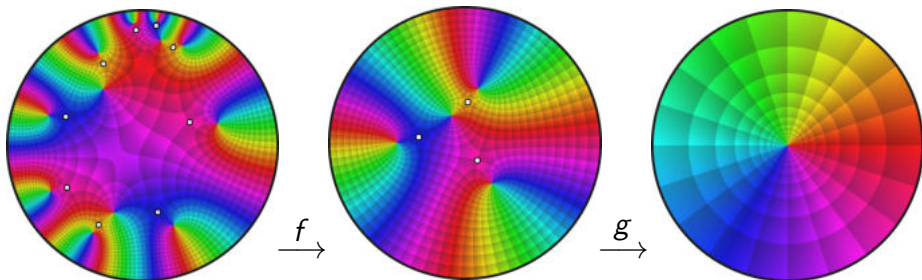
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The phase plot of g (middle) shows the **critical points** of g .

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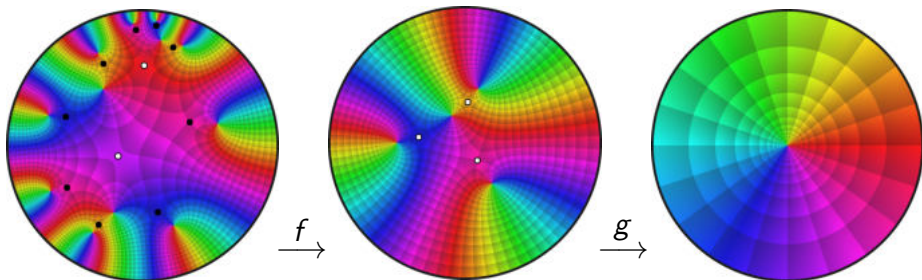
By the **chain rule**,

$$(g \circ f)' = (g' \circ f) \cdot f',$$

their *pull back* via f are critical points of B (left).

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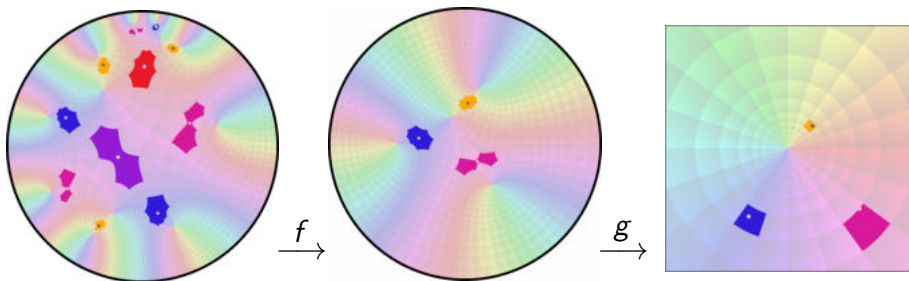
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The remaining critical points of B are the critical points of f .

Compositions of Blaschke Products

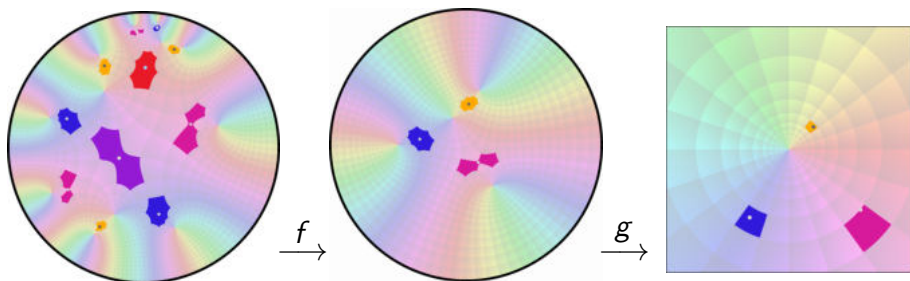
The Blaschke product B in the last example was special, because it was a **composition** of two Blaschke products of lower degree, $B = g \circ f$.



This can also be seen in the corresponding **exceptional tiles**.

Compositions of Blaschke Products

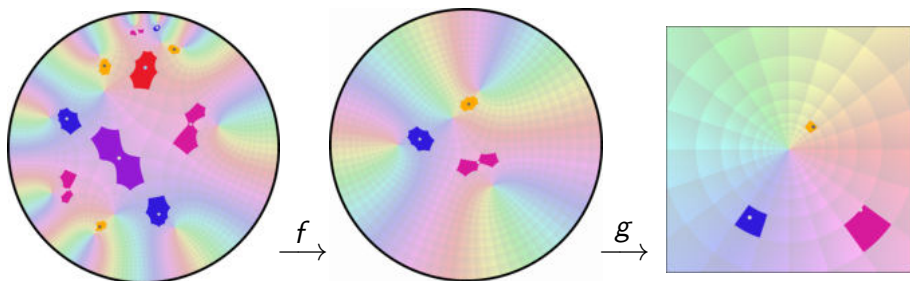
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Compositions of Blaschke Products

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This can also be seen in the corresponding **exceptional tiles**. Since f maps \mathbb{D} onto a 3-fold covering of \mathbb{D} , each exceptional tile of g is **triplicated** in the phase plot of B . All tiles with the same color are **conformally equivalent**, since they are pulled back from the **same tile** in the image on the right.

A Criterion for Decomposability

Theorem (Daepf, Gorkin, Shaffer, Sokolowsky, Voss, 2015)

A (regularized) finite Blaschke product B is **decomposable** as $B = g \circ f$ with Blaschke products f and g of degree $m \geq 2$ and $n \geq 2$, respectively, if and only if the **critical points** of B can be **partitioned** into multisets A_0, A_1, \dots, A_{n-1} such that:

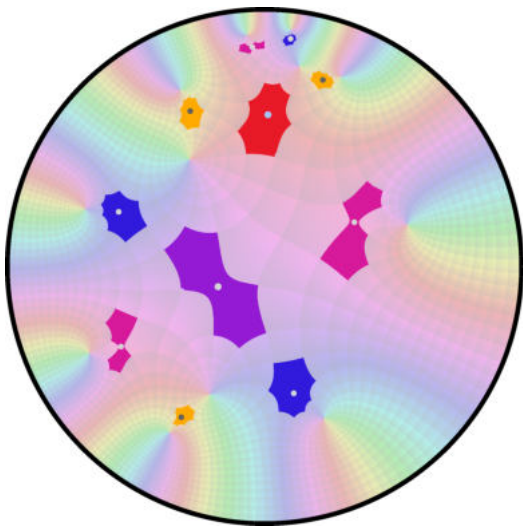
- (i) The set A_0 contains $m - 1$ elements, and each set A_1, \dots, A_{n-1} contains m elements.
- (ii) Two critical points of B have the same multiplicity whenever they belong to the same set A_k for some $k = 1, \dots, n - 1$,
- (iii) Let f_0 be (one and then any) Blaschke product of degree m with A_0 as set of critical points. Then f_0 is **constant** on each A_k for $k = 1, \dots, n - 1$.

If these conditions are satisfied then B can be decomposed as $B = g_0 \circ f_0$, and the general form of such decompositions is

$$B = (g_0 \circ h^{-1}) \circ (h \circ f_0)$$

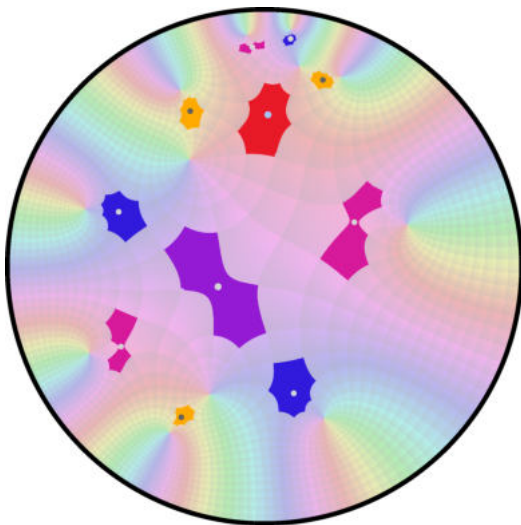
with a conformal disk automorphism h .

Checking the Conditions in the DGSSV-Theorem



(i) The partitioning of critical points can be read off from the color and the shape of the exceptional tiles.

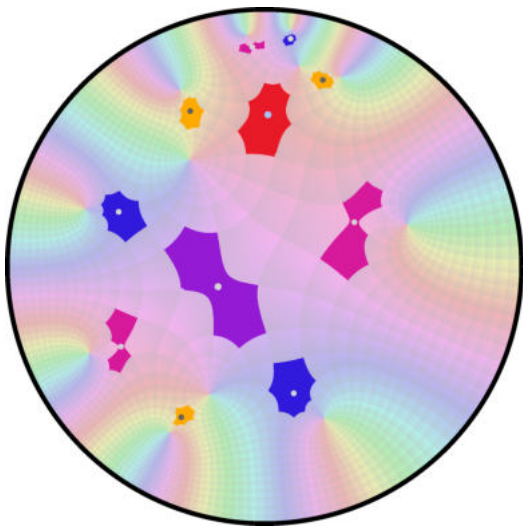
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The set A_0 has 2 elements

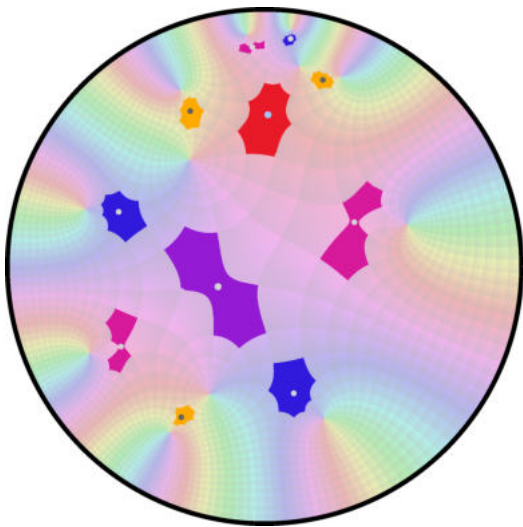
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The set A_0 has 2 elements, each of the other sets A_1, A_2, A_3 has 3 elements.

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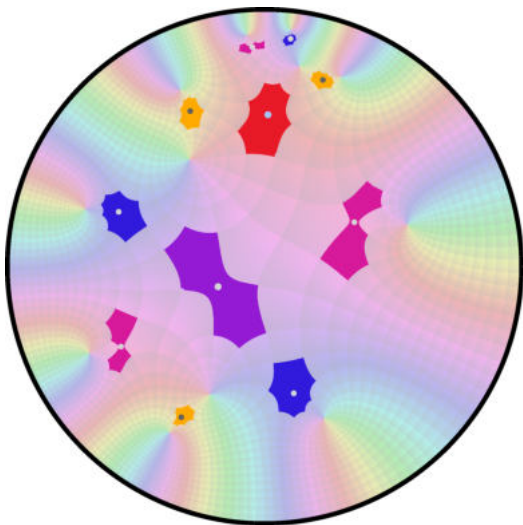


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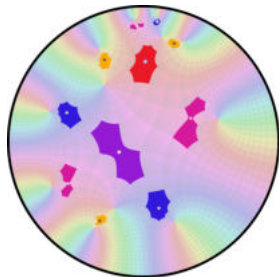
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(iii) How do we see that f_0 is constant on each set A_k ?

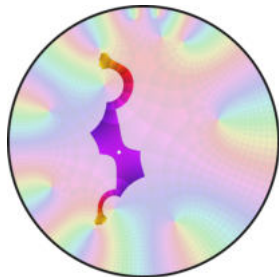
Checking the conditions in the DGSSV-Theorem

Condition (iii) is equivalent to the fact that f_0 maps all exceptional tiles associated with the same set A_k onto **one and the same tile**. This is a matter of **symmetry**.



Checking the conditions in the DGSSV-Theorem

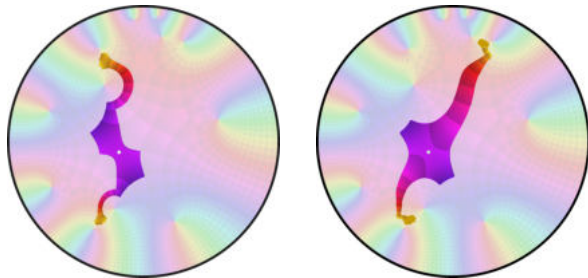
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Knowing (or guessing) which exceptional tiles contain the critical points of f_0 , this can be checked by constructing **symmetric paths** that connect the tiles in the corresponding set (as shown for the yellow tiles).

Checking the conditions in the DGSSV-Theorem

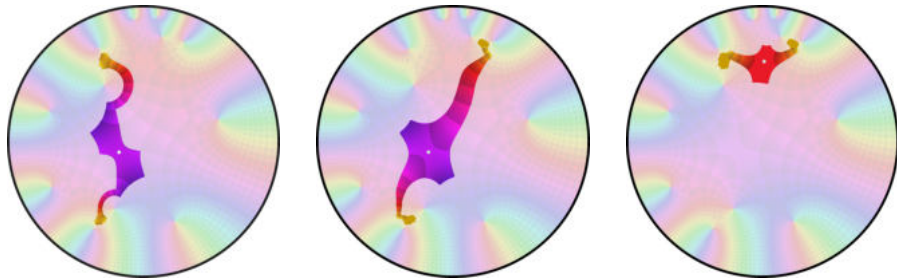
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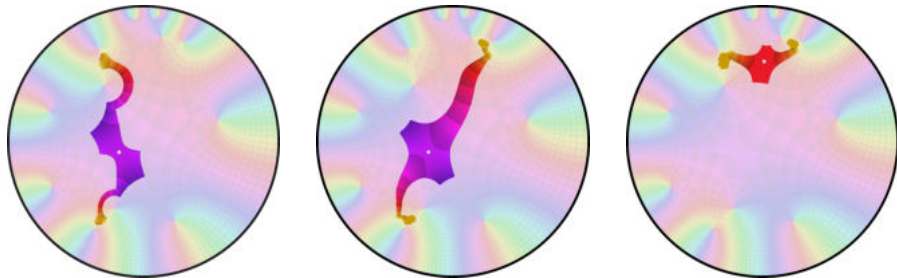
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All this holds up to some error depending on the resolution of the tiling.

A Theorem of Ritt

There is another, more abstract, criterion for decomposability of Blaschke products (originally stated for polynomials).

Theorem (Ritt, 1922)

*A (normalized) Blaschke product is decomposable if and only if its monodromy group acts **imprimitively** on the sheets of its Riemann surface.*

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A group G operating on a set S acts *imprimitively*, if there is a *non-trivial partition* of S into (disjoint) subsets P_1, \dots, P_m which is *respected* by G , i.e., if $s_1, s_2 \in P_k$ and $g \in G$, then $g(s_1), g(s_2) \in P_j$ for some j .

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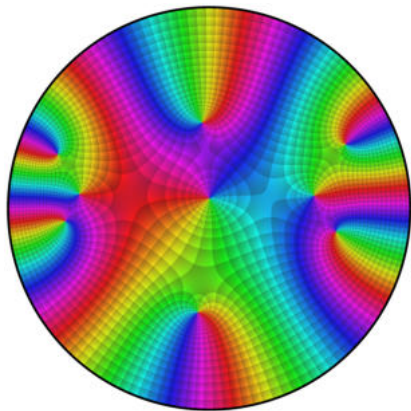
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Can this partition be seen in the phase plot of a decomposable Blaschke product?

Visualizing Ritt's Theorem

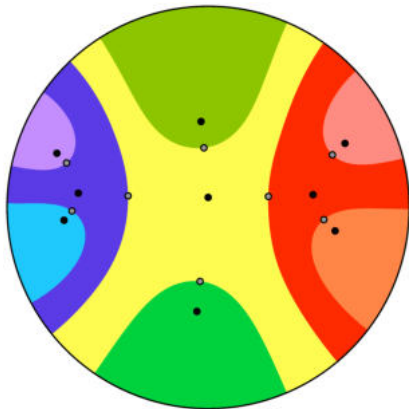
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



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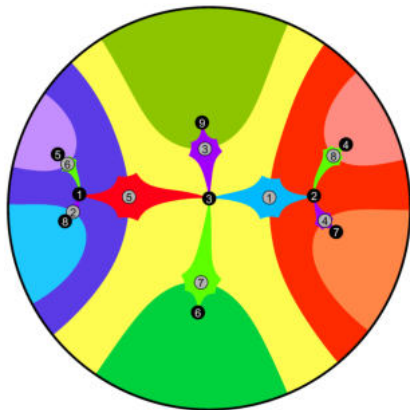
The sheets are associated with the (**basins of**) the **zeros**.



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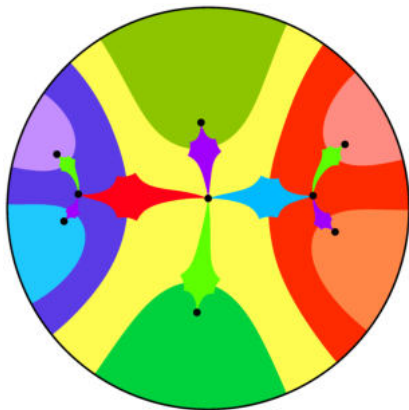
Here are the **generators** of M_B .



Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

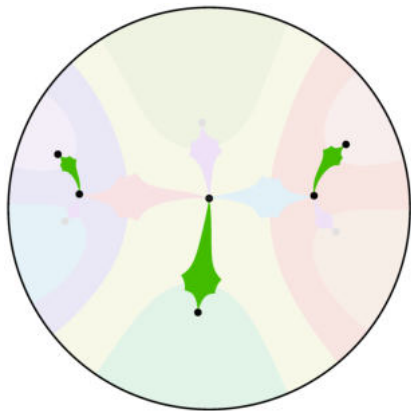
Here are the **generators** of M_B .



Visualizing Ritt's Theorem

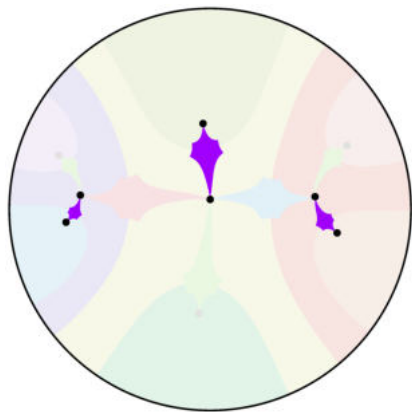
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

Here are the **generators** of M_B .
These **three** cells together
represent **one** generator,



Visualizing Ritt's Theorem

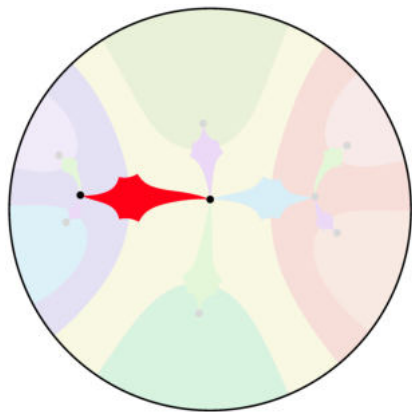
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



Here are the **generators** of M_B .
These **three** cells together
represent **one** generator,
these represent another one,

Visualizing Ritt's Theorem

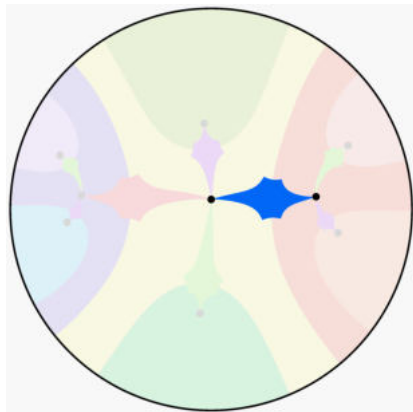
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



Here are the **generators** of M_B .
These **three** cells together
represent **one** generator,
these represent another one,
this single cell is the third one,

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

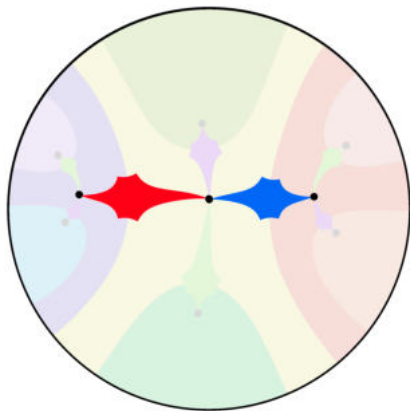


Here are the **generators** of M_B . These **three** cells together represent **one** generator, these represent another one, this single cell is the third one, and this is the last one.

Visualizing Ritt's Theorem

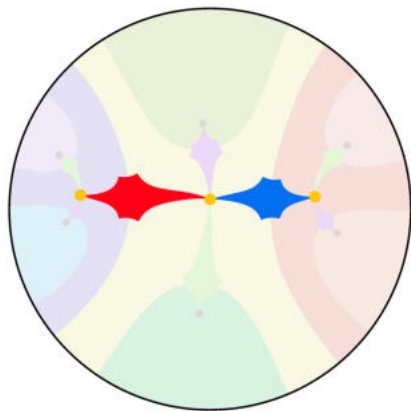
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

The last two are associated with critical points of f



Visualizing Ritt's Theorem

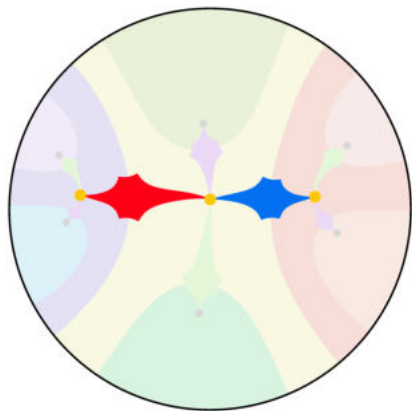
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B

Visualizing Ritt's Theorem

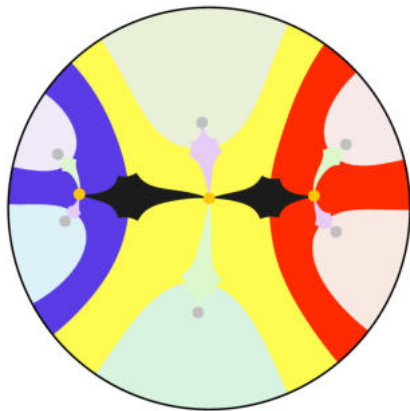
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B (this is a non-trivial fact which follows from the transitivity of the monodromy group of f .)

Visualizing Ritt's Theorem

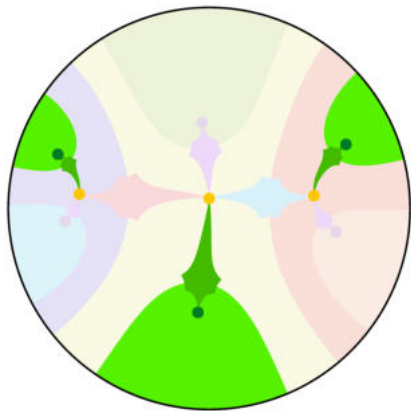
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B (this is a non-trivial fact which follows from the transitivity of the monodromy group of f .) These zeros form the **first group** of the partition.

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B

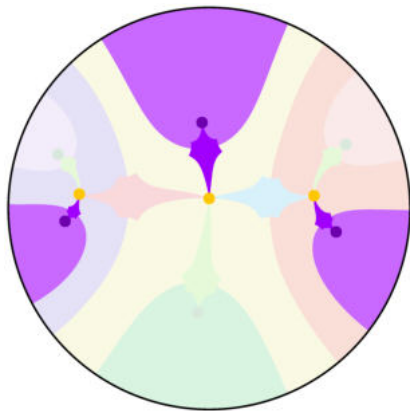
(this is a non-trivial fact which follows from the transitivity of the monodromy group of f .)

These zeros form the **first group** of the partition.

Applying the remaining $n - 1$ generators successively to the m zeros in the first group, yields the members of the other groups.

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B

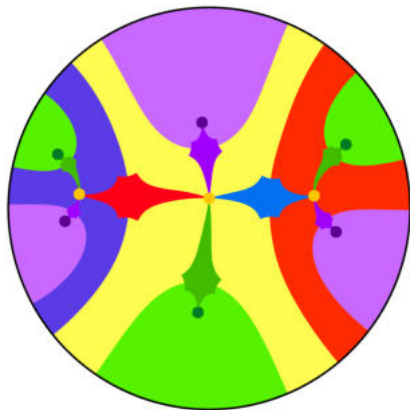
(this is a non-trivial fact which follows from the transitivity of the monodromy group of f .)

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Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B

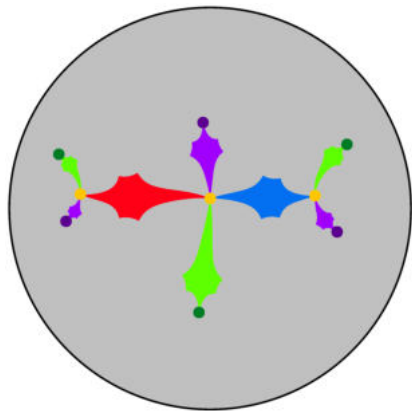
(this is a non-trivial fact which follows from the transitivity of the monodromy group of f .)

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Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The last two are associated with critical points of f , these act on $m = 3$ zeros (sheets) of B

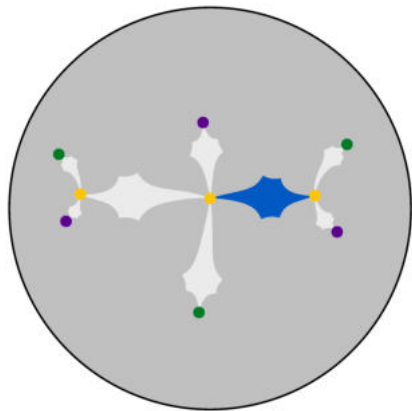
(this is a non-trivial fact which follows from the transitivity of the monodromy group of f .)

These zeros form the **first group** of the partition.

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Visualizing Ritt's Theorem

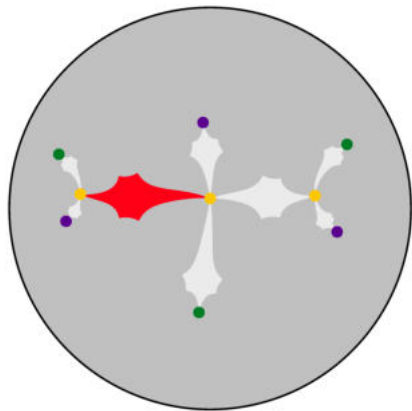
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The generators of M_B associated with critical points of f respect the partition, since they **act** only **inside** the first (yellow) group.

Visualizing Ritt's Theorem

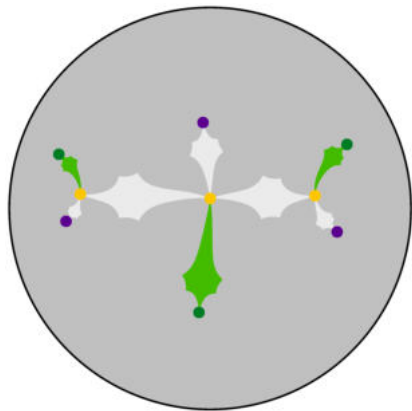
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



The generators of M_B associated with critical points of f respect the partition, since they **act** only **inside** the first (yellow) group.

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

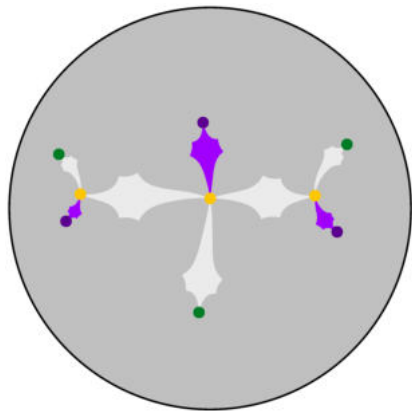


The generators of M_B associated with critical points of f respect the partition, since they **act** only **inside** the first (yellow) group.

The generators of M_B associated with critical points of g respect the partition, since they **permute the groups** (yellow and green)

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



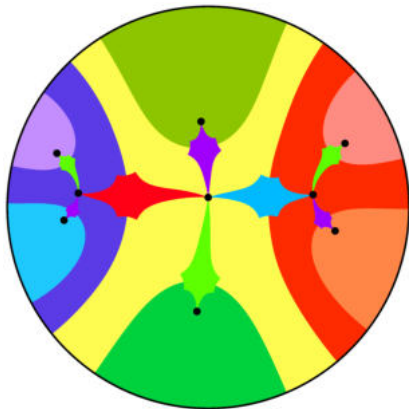
The generators of M_B associated with critical points of f respect the partition, since they **act** only **inside** the first (yellow) group.

The generators of M_B associated with critical points of g respect the partition, since they **permute the groups** (yellow and violet).

Visualizing Ritt's Theorem

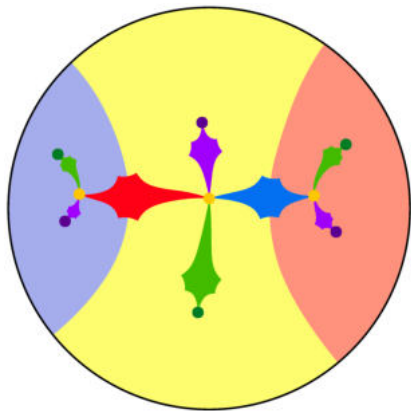
This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

There is somewhat more to discover.



Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .

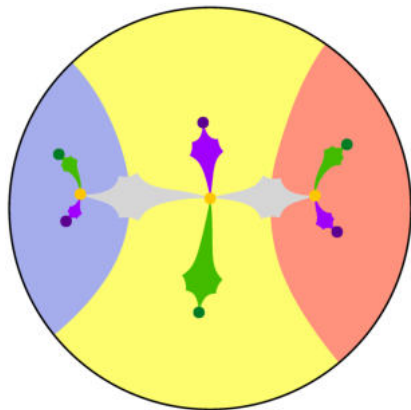


There is somewhat more to discover.

Each of the highlighted **superbasins** is mapped by f onto a copy of the unit disk. The generators of associated with f permute these.

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



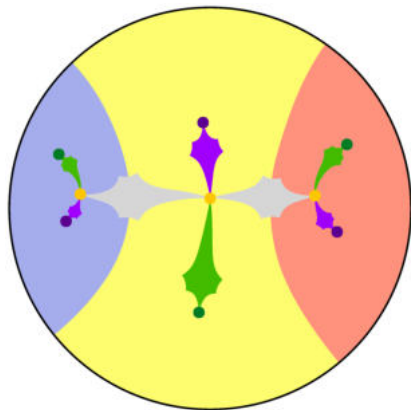
There is somewhat more to discover.

Each of the highlighted **superbasins** is mapped by f onto a copy of the unit disk. The generators of associated with f permute these.

The generators associated with g operate inside the basins, and they all act in the same way.

Visualizing Ritt's Theorem

This is a phase plot of $B = g \circ f$ with $m = \deg f = 3$ and $n = \deg g = 3$. Its **monodromy group** M_B acts on the **sheets** of the Riemann surface S_B .



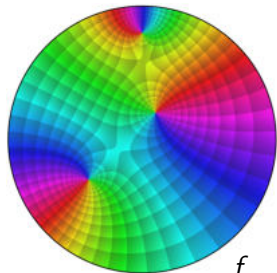
There is somewhat more to discover.

Each of the highlighted **superbasins** is mapped by f onto a copy of the unit disk. The generators of associated with f permute these.

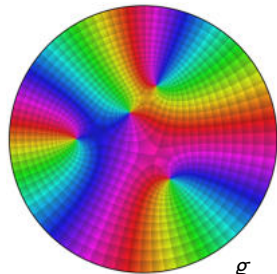
The generators associated with g operate inside the basins, and they all act in the same way.

Let's look at another example.

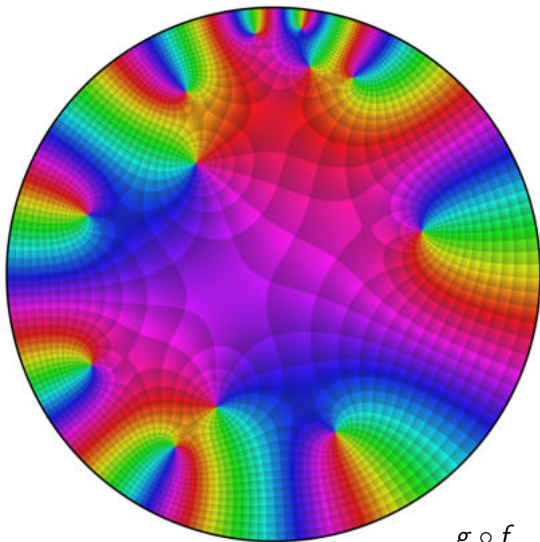
The monodromy group of a composition



f

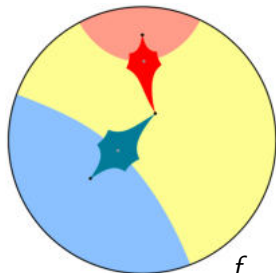


g

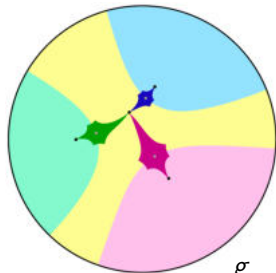


$g \circ f$

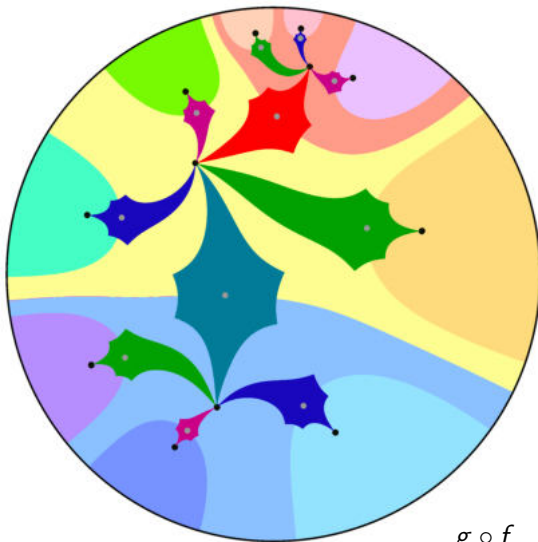
The monodromy group of a composition



f

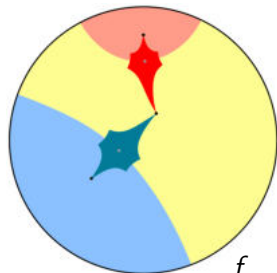


g

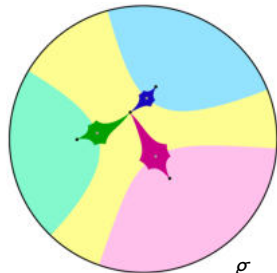


$g \circ f$

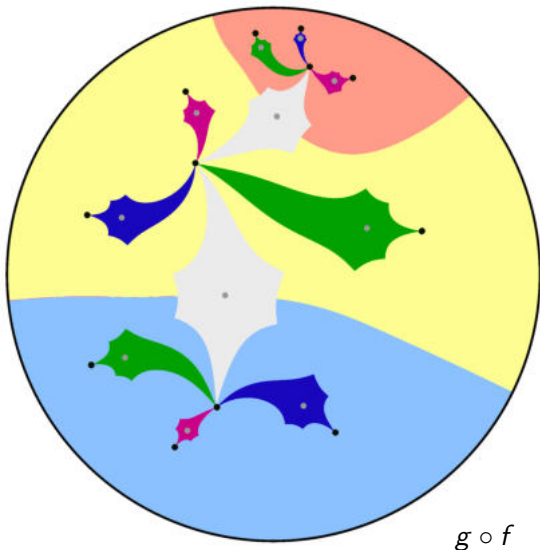
The monodromy group of a composition



f

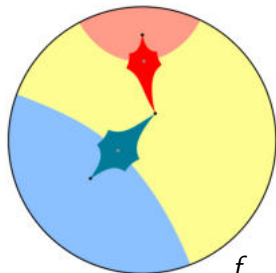


g

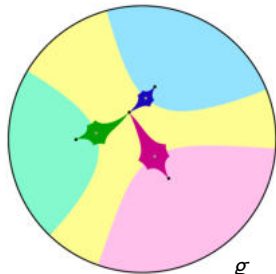


$g \circ f$

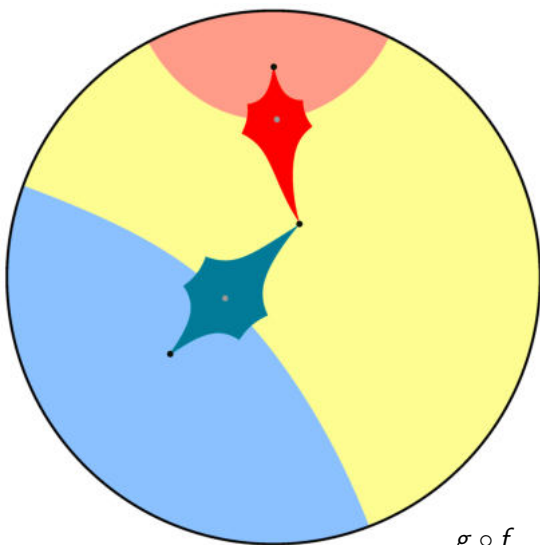
The monodromy group of a composition



f

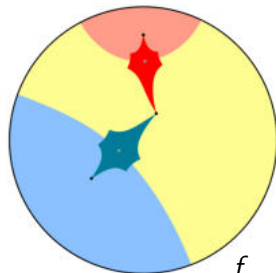


g

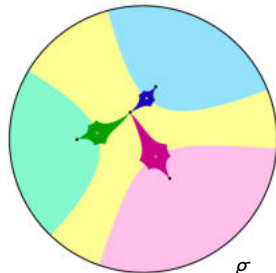


$g \circ f$

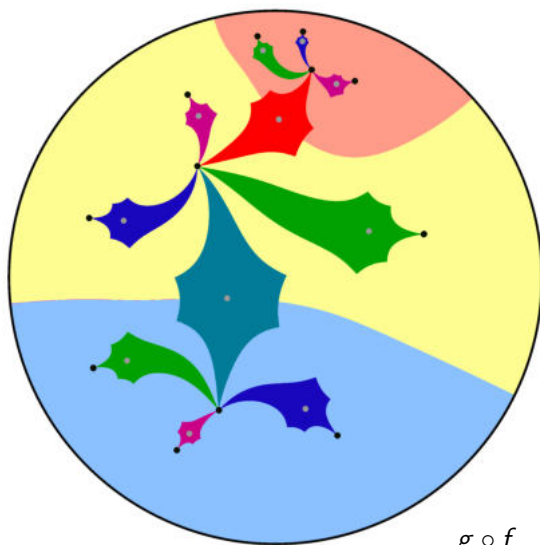
The monodromy group of a composition



f



g



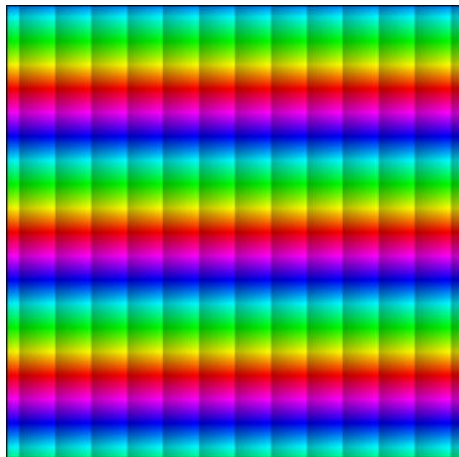
$g \circ f$

... is the direct product of the monodromy groups of its factors.

A Picture Book of Functions

▶ Skip

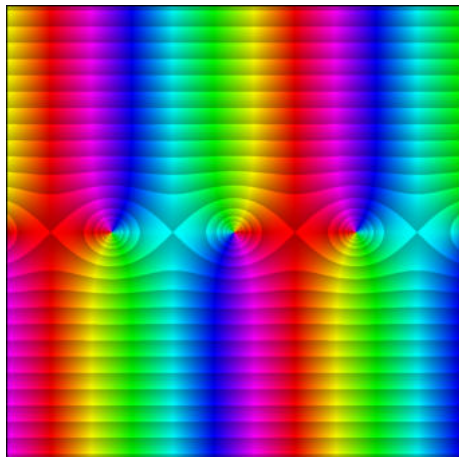
A picture book of complex functions



The exponential function

$$e^z = 1 + \frac{z}{1!} + \frac{z^2}{2!} + \dots + \frac{z^k}{k!} + \dots$$

A picture book of complex functions



The **exponential** function

$$e^z = 1 + \frac{z}{1!} + \frac{z^2}{2!} + \dots + \frac{z^k}{k!} + \dots$$

The **sine** function is a sum of two exponentials,

$$\sin z = \frac{1}{2i} (e^{iz} - e^{-iz})$$

A picture book of complex functions



The exponential function

$$e^z = 1 + \frac{z}{1!} + \frac{z^2}{2!} + \dots + \frac{z^k}{k!} + \dots$$

A linear combination of three exponential functions,

$$f(z) = \sum c_k e^{a_k z}$$

A picture book of complex functions



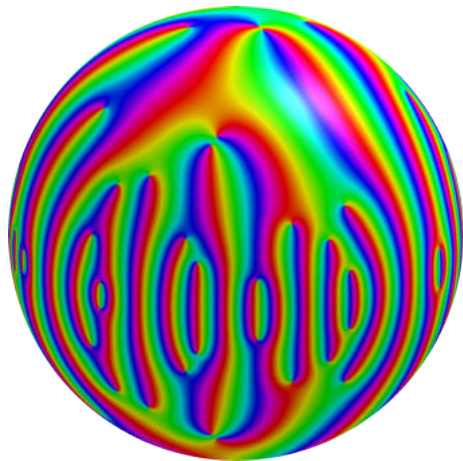
A finite Blaschke product

$$f(z) := \prod_{k=1}^{50} \frac{z - z_k}{1 - \overline{z_k}z}.$$



Wilhelm Blaschke (1885-1962)

A picture book of complex functions



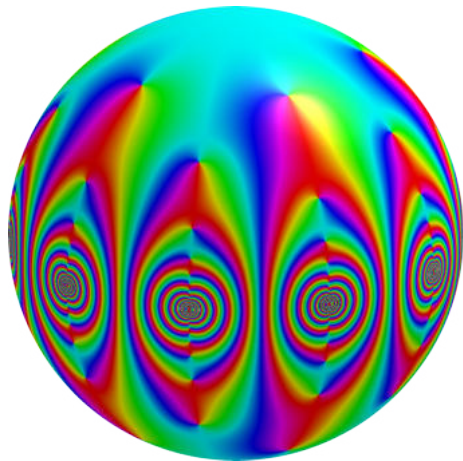
A finite Blaschke product
on the Riemann sphere

$$f(z) := \prod_{k=1}^{50} \frac{z - z_k}{1 - \overline{z_k}z}.$$



Wilhelm Blaschke (1885-1962)

A picture book of complex functions



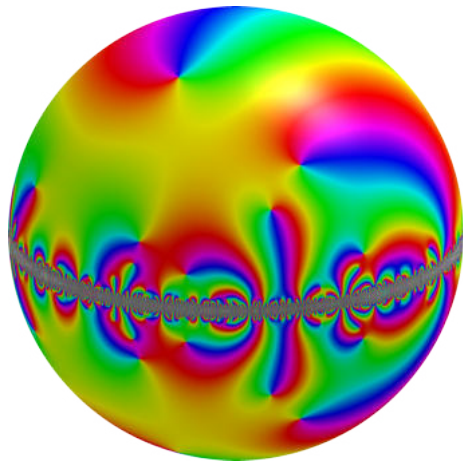
An infinite Blaschke product
on the Riemann sphere

$$f(z) := \prod_{k=1}^{\infty} \frac{z - z_k}{1 - \overline{z_k}z}.$$



Wilhelm Blaschke (1885-1962)

A picture book of complex functions



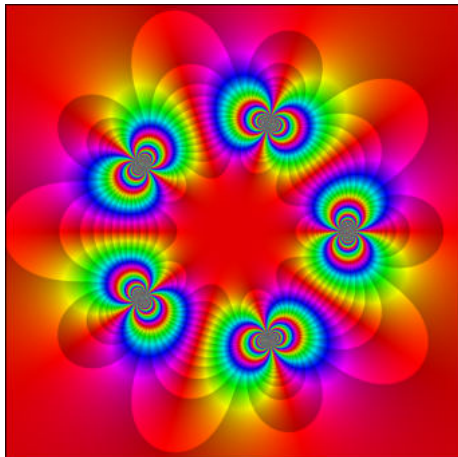
An infinite Blaschke product
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A picture book of complex functions

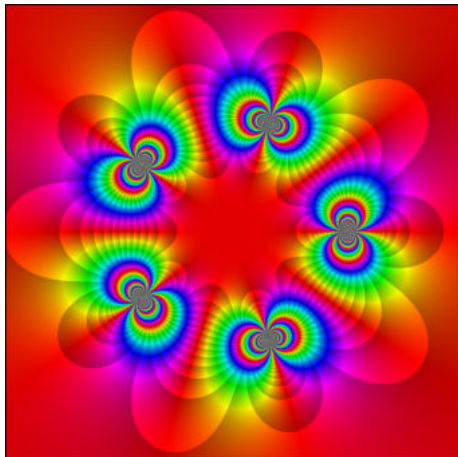


A singular inner function generated from an atomic measure at the fifth roots of unity,

$$f(z) = \prod_{k=1}^5 \exp \frac{z + z_k}{z - z_k},$$

where $z_k = \omega^k$ with $\omega = e^{2\pi i/5}$.

A picture book of complex functions



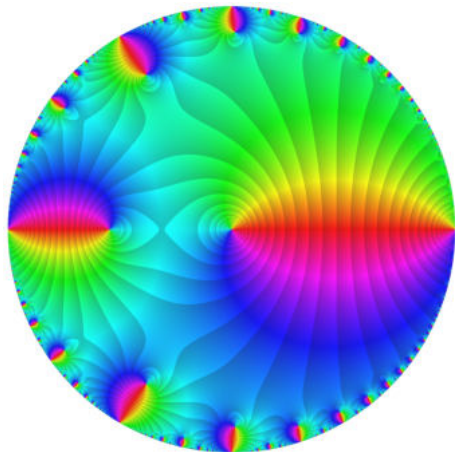
A singular inner function generated from an atomic measure at the fifth roots of unity,

$$f(z) = \prod_{k=1}^5 \exp \frac{z + z_k}{z - z_k},$$

where $z_k = \omega^k$ with $\omega = e^{2\pi i/5}$.

This function has no zeros in the unit disk and constant modulus 1 almost everywhere on the unit circle.

A picture book of complex functions



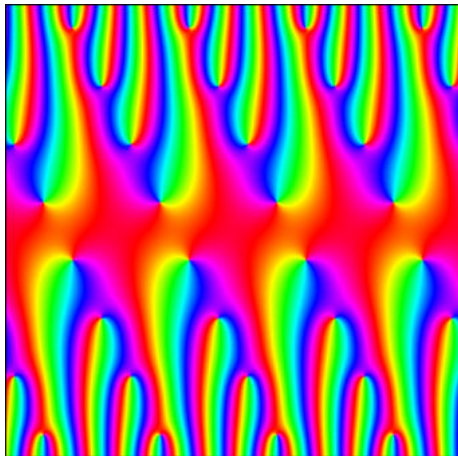
$$\frac{z}{1-z} + \frac{z^2}{1-z^2} + \cdots + \frac{z^n}{1-z^n} + \cdots$$



Johann Heinrich Lambert
(1728-1777)

The **Lambert function** is the **generating function** of the divisor function σ_0 , its n th Taylor coefficient coincides with the number of divisors of n .

A picture book of complex functions



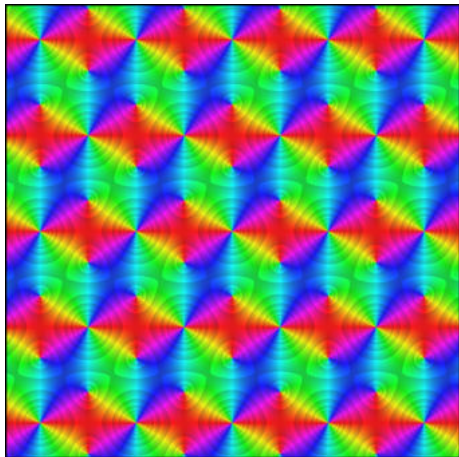
A Jacobi Theta function

$$f(z) := \sum_{k=-\infty}^{\infty} q^{k^2} e^{2k\pi i z}$$



Carl Gustav Jacobi
(1804-1851)

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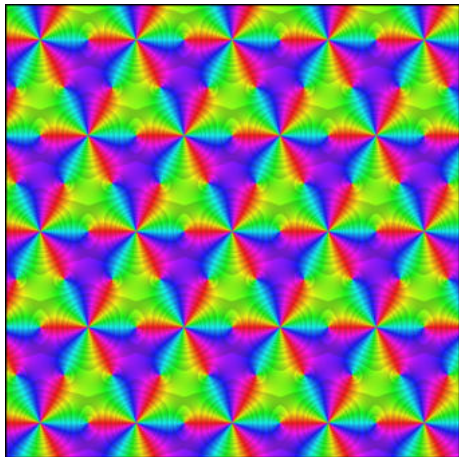
A Weierstrass' \wp -Function

$$f(z) = \frac{1}{z^2} + \sum_{p \in P, p \neq 0} \left[\frac{1}{(z-p)^2} - \frac{1}{p^2} \right]$$



Karl Weierstraß (1815-1897)

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A Weierstrass' \wp -Function

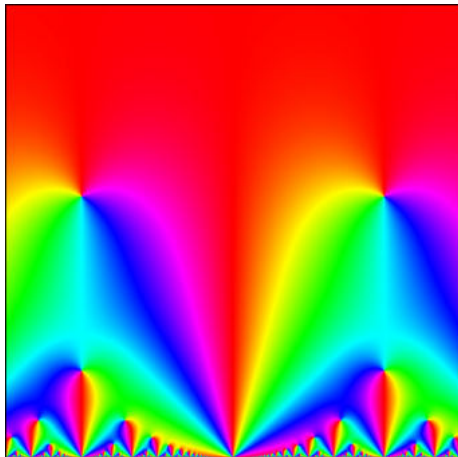
$$f(z) = \frac{1}{z^2} + \sum_{p \in P, p \neq 0} \left[\frac{1}{(z-p)^2} - \frac{1}{p^2} \right]$$

and its derivative.



Karl Weierstraß (1815-1897)

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The Eisenstein series G_4

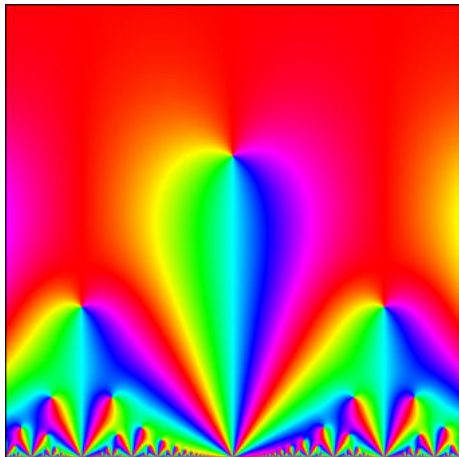
Eisenstein series

$$G_k(z) = \sum_{\substack{(c,d) \in \mathbb{Z} \times \mathbb{Z} \\ (c,d) \neq (0,0)}} \frac{1}{(cz + d)^k}$$



Ferdinand Eisenstein (1823 – 1852)

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The Eisenstein series G_6

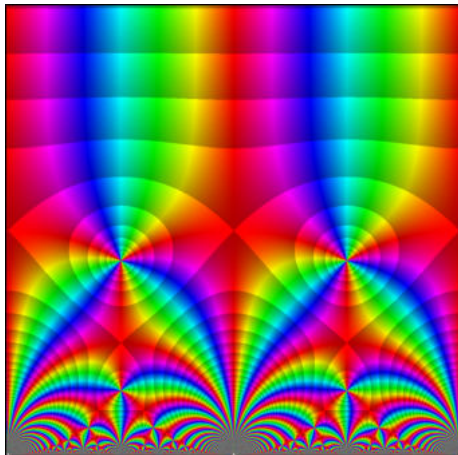
Eisenstein series

$$G_k(z) = \sum_{\substack{(c,d) \in \mathbb{Z} \times \mathbb{Z} \\ (c,d) \neq (0,0)}} \frac{1}{(cz + d)^k}$$



Ferdinand Eisenstein (1823 – 1852)

A picture book of complex functions



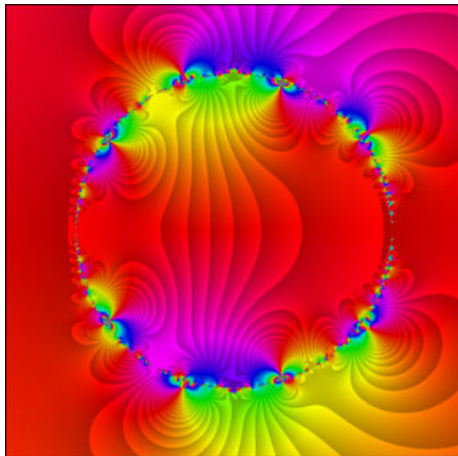
Klein's automorphic j -function

$$j(z) = 12^3 \frac{20 G_4^3}{20 G_4^3 - 49 G_6^2}$$



Felix Klein (1849-1929)

A picture book of complex functions



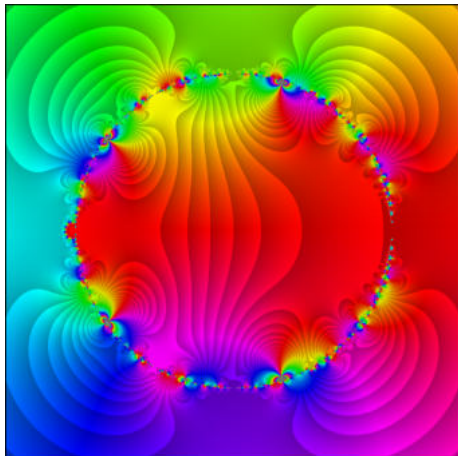
Ramanujan's continued fraction,
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$$1 + \frac{z}{1 + \frac{z^2}{1 + \frac{z^3}{1 + \ddots}}}$$



Srinivasa Ramanujan (1887-1920)

A picture book of complex functions



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The Riemann Zeta function

$$f(z) = \frac{1}{1^z} + \frac{1}{2^z} + \frac{1}{3^z} + \frac{1}{4^z} \dots$$



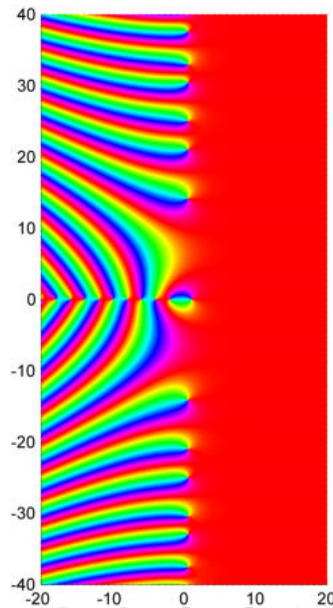
Bernhard Riemann (1826-1866)

Riemann's explicit formula

In his celebrated paper “Über die Anzahl der Primzahlen unter einer gegebenen Größe” of 1859, Bernhard Riemann derives an explicit formula for analytic continuation of the Zeta function,

$$2 \sin(\pi z) \Gamma(z) \zeta(z) = i \oint_C \frac{(-x)^{z-1}}{e^x - 1} dx.$$

The curve C starts at $+\infty$, runs once around the origin in positive direction and returns to $+\infty$.

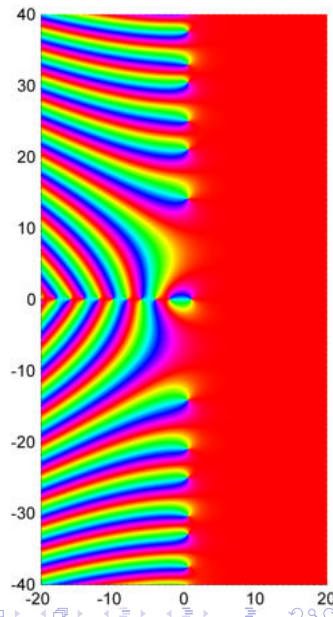


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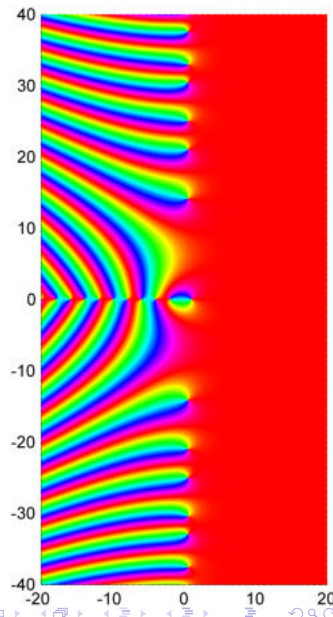
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Are they?



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Moreover, he heuristically estimated the number $N(T)$ of non-trivial zeros which satisfy $0 < \operatorname{Im} z < T$ by

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Man findet nun in der Tat etwa so viele reelle Wurzeln innerhalb dieser Grenzen, und es ist sehr wahrscheinlich, daß alle Wurzeln reell sind. Hiervon wäre allerdings ein strenger Beweis zu wünschen, ich habe indes die Aufsuchung desselben nach einigen flüchtigen vergeblichen Versuchen beiseite gelassen, da er für den nächsten Zweck meiner Untersuchungen entbehrlich schien.

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Indeed one finds about as many real roots within these bounds, and it is very likely that all roots are real. A strict proof of this fact would be desirable, however, after some unsuccessful attempts, I abandoned searching for one, because it was expendable for the next purpose of my investigations.

The Riemann Hypothesis

That the (nontrivial) zeros “are real” means in fact that they exactly lie in the middle of the critical strip, i.e., their real part equals $1/2$.

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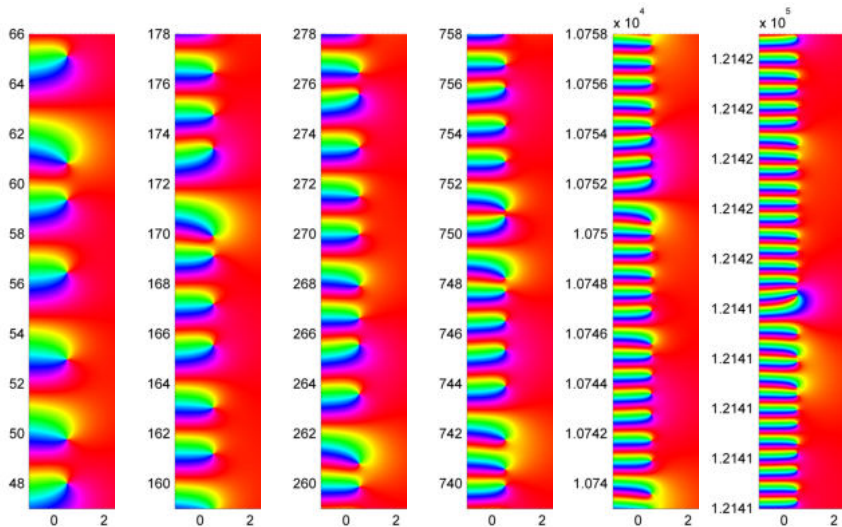
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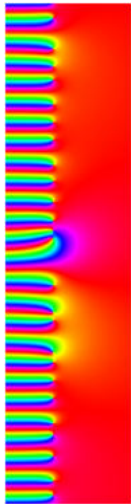
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Though it is known today that more than 10 000 000 000 000 non-trivial zeros indeed lie on the critical line, the problem withstands all attacks and seems far from being solved.

Non-trivial zeros of the Zeta function

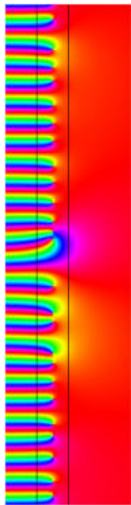


The Zeta function in the critical strip



The phase portrait of the Zeta function is surprisingly rich.

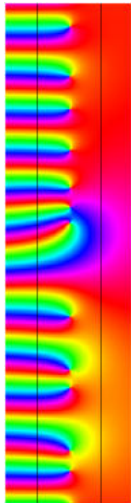
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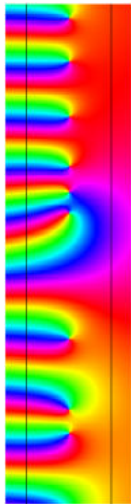
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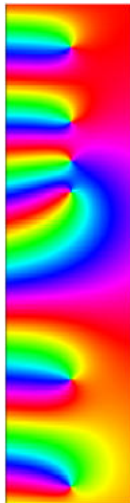
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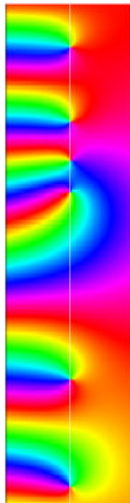


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Here we are in the critical strip at height $\text{Im } z = 121\,415$.

The Zeta function in the critical strip



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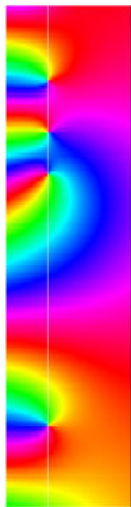
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In order to explore this region further we send out scouts.

Strings and their chromatic numbers $\text{chrom } S$



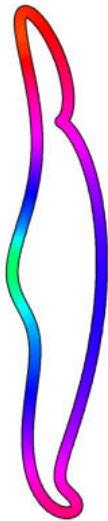
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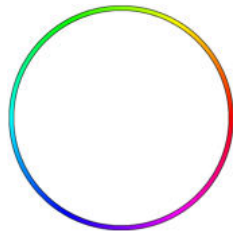


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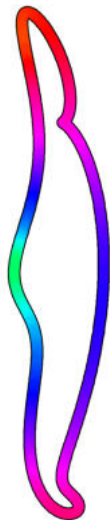
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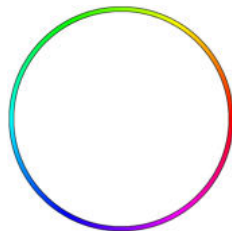


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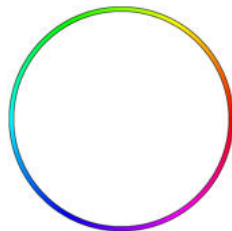


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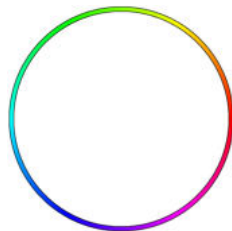
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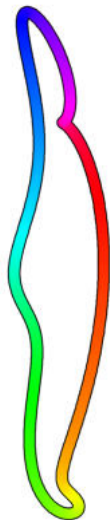
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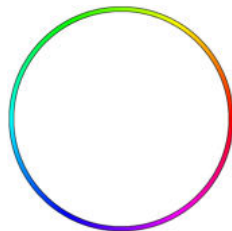
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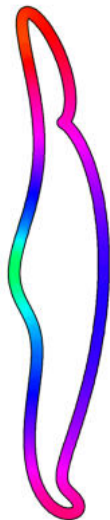
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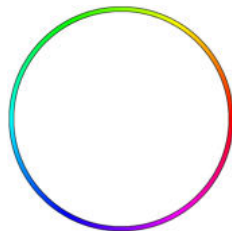


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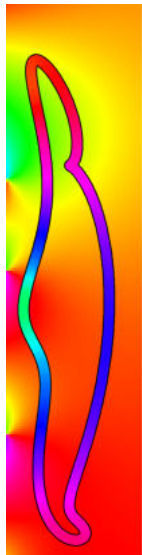
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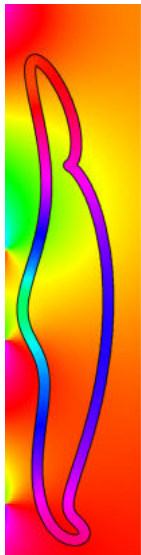
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Universality of the Zeta function



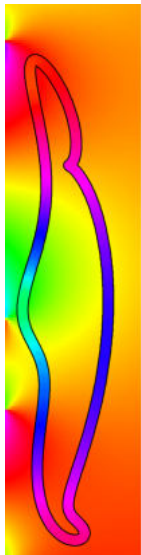
Strings live in the **right half** of the critical strip.

Universality of the Zeta function



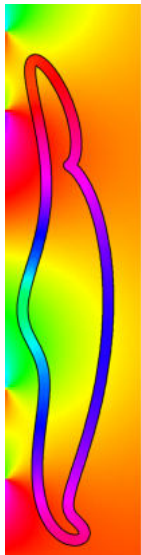
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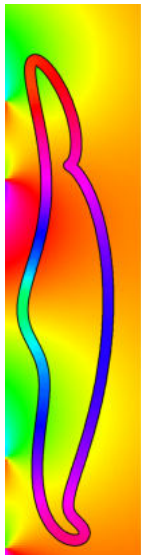
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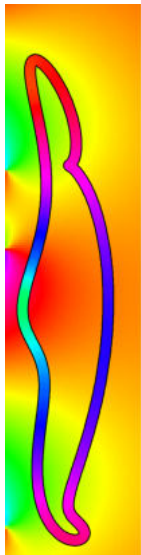
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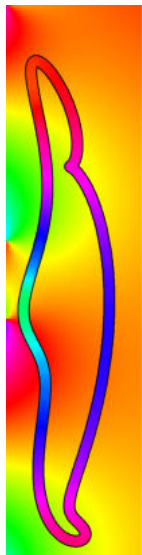
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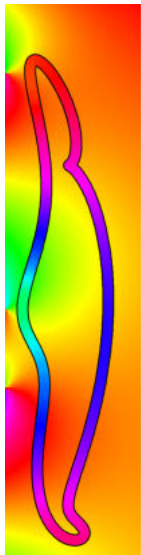
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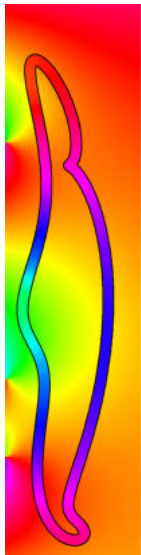


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Which strings can hide themselves in the phase portrait of the Riemann Zeta function ?

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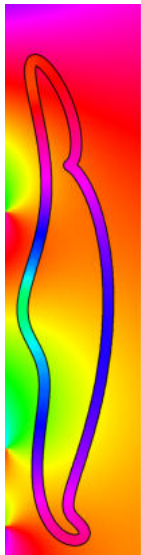


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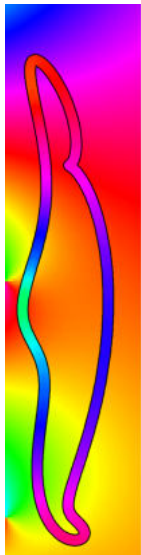


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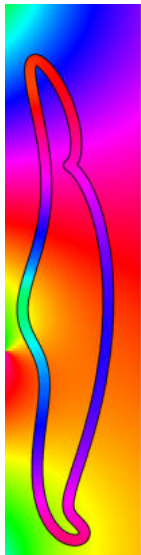


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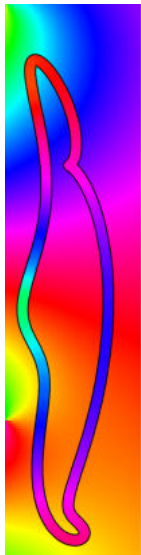


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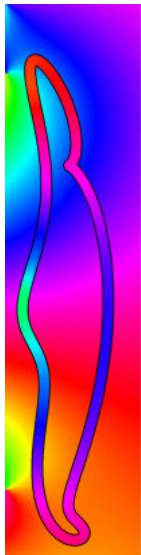


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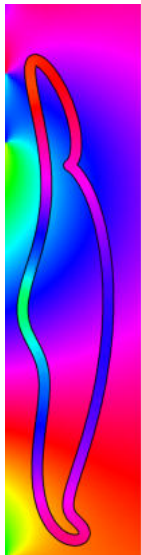


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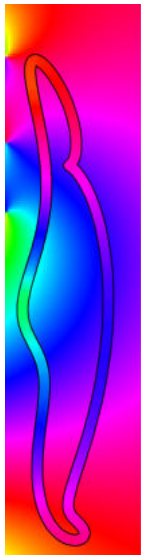


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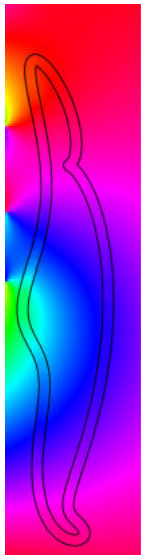


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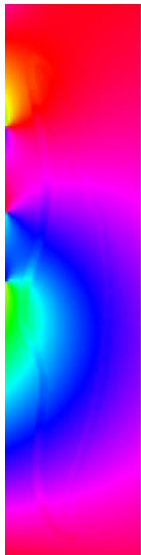


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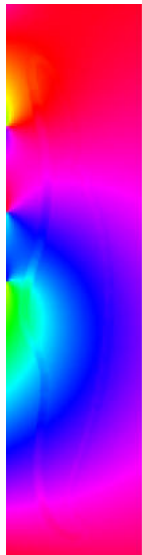


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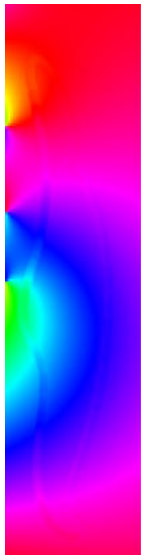
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The following result is the translation of the **Karatsuba-Voronin universality theorem** (in a general form due to Bhaskar Bagchi) into the language of phase plots.

Universality of the Zeta function

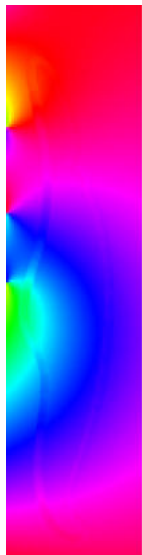


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*Every string with **chromatic number zero** can hide itself in the phase plot of the Riemann Zeta function.*

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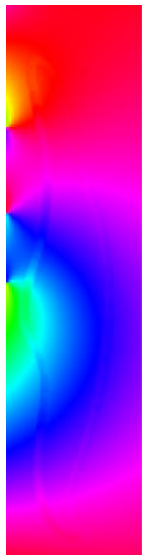
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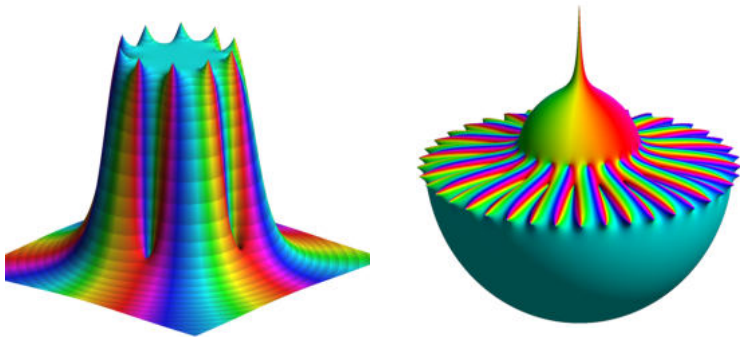
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The **necessity** of the condition $\text{chrom } S = 0$ is equivalent to the **Riemann Hypothesis**.

Software: the complex function explorer



www.mathworks.com/matlabcentral/fileexchange/45464-complex-function-explorer

www.mathworks.com/matlabcentral/fileexchange/44375-phase-plots-of-complex-functions

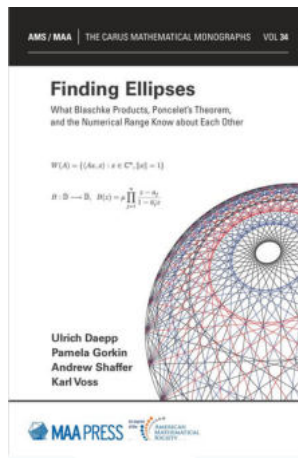
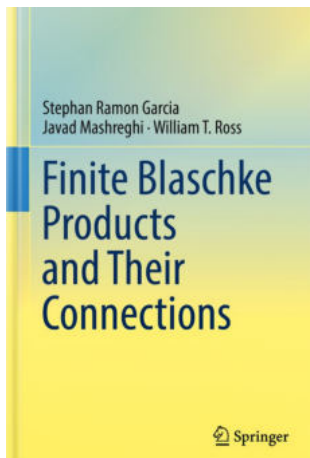
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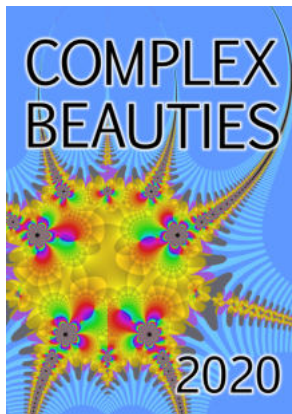
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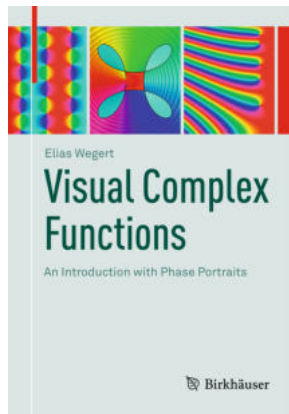
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